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# Hyper-Kamiokande

--- Concept and R&D ---

Kenzo NAKAMURA  
KEK

August 1-2, 2005  
Neutrino Meeting  
IIT-Bombay, Mumbai, India

# Science with large underground detectors

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## ■ Neutrino physics

### ■ w/ accelerator-produced beam

- LBNO, VLBNO

- $\theta_{13}$ ,  $\text{sign}(\Delta m_{23}^2)$ ,  $\delta_{\text{CP}}$ , precision measurement of  $(\theta_{23}, \Delta m_{23}^2)$

### ■ wo/ accelerator-produced beam

- solar  $\nu$ , atm  $\nu$ ,  $\nu$  burst from SN, relic SN  $\nu$

## ■ Nucleon decay

- $p \rightarrow e^+ \pi^0, \nu K^+$ , other decay modes

## ■ Most of large detectors are multi-purpose

- Water Cherenkov ( $\sim$  Mton)

- Liq. Ar ( $\sim$  100 kton)

- Liq. Scintillator ( $\sim$  50 kton)

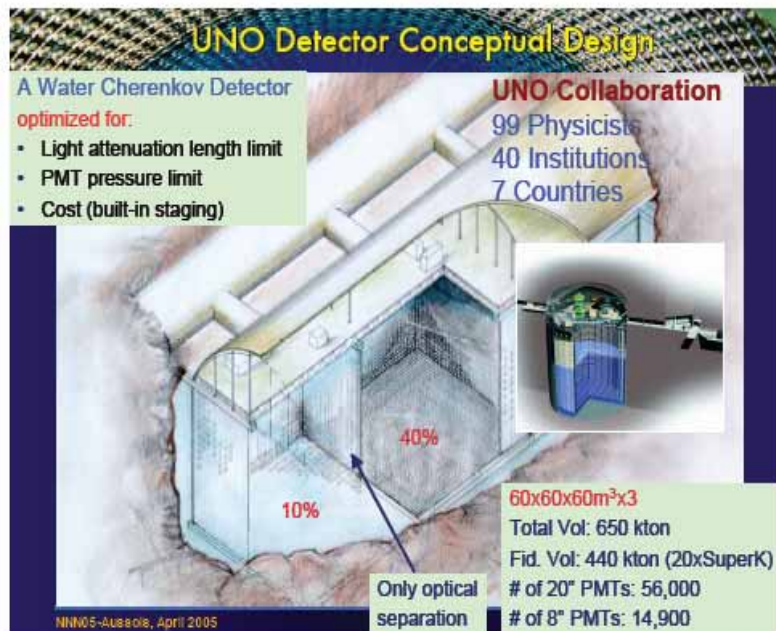
# Next-generation water Cherenkov detectors

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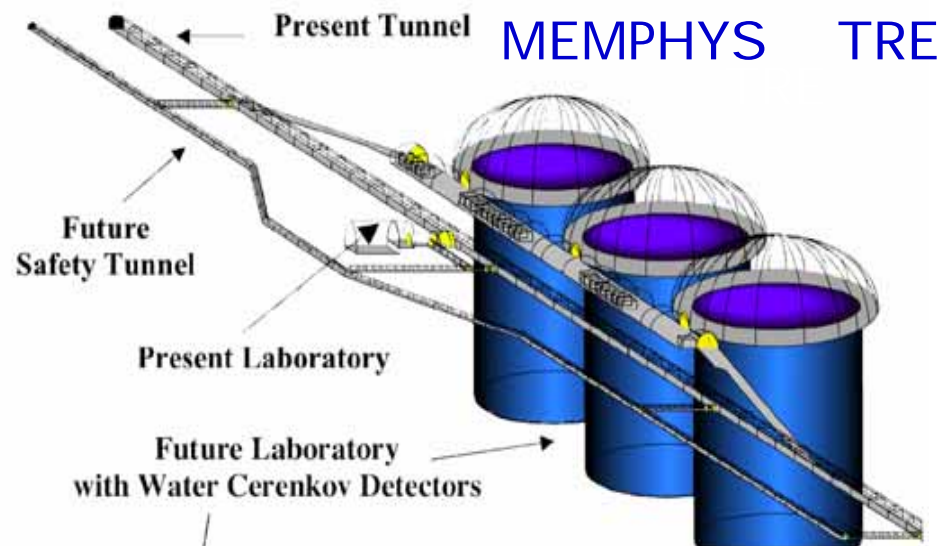
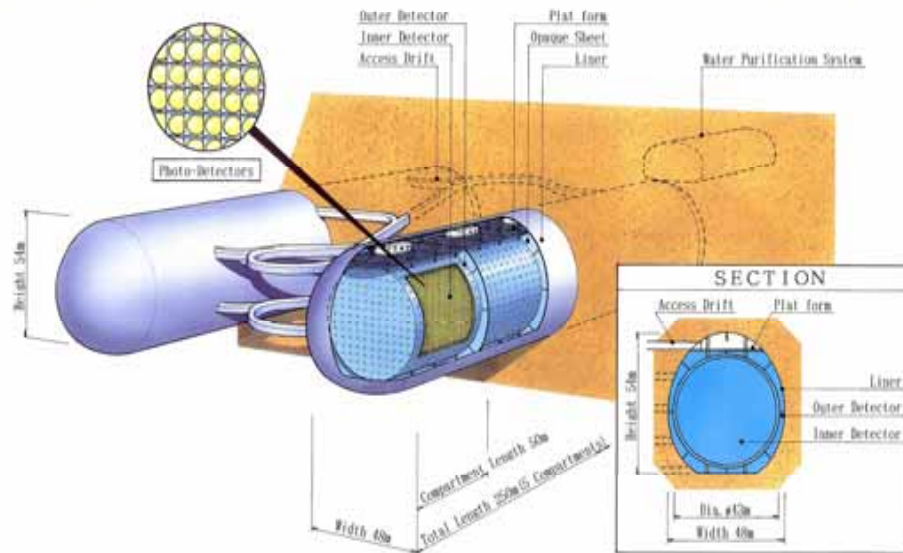
- Concept of a Mton water Cherenkov detector dates back to 1992
  - M. Koshiba: "DOUGHNUTS" Phys. Rep. 220 (1992) 229
- Conceptual idea of next-generation water Cherenkov detectors
  - 1999: UNO & Hyper-K
  - 2002: MEMPHYS
- Time line of each detector (from talks @ NNN05)
  - UNO @ Henderson Mine
    - DUSEL proposal: 2005
    - Construction: 10 years, wish to start as soon as possible
  - Hyper-K @ Tochibora Mine (Kamioka)
    - Some years after start-up of T2K-1
    - Construction: 10 years, hopefully 2013 - 2022
  - MEMPHYS @ Frejus Tunnel
    - CERN-based Super and beta beams hopefully ready before 2020
    - Construction: hopefully 2010 - 2019 (first module 2017)

Recently, NSF selected Henderson Mine and Homestake Mine as candidates for DUSEL

Study of the possibility to construct in 7 years started



## Hyper-Kamiokande DUE



- DUE and TRE have a choice to put Gd in one module in order to enhance the sensitivity to low-energy antineutrino detection.

Relic SN detection

- See, an interesting paper [PRL 93 (2004) 171101] by Beacom and Vagins on GADZOOKS (Gadolinium Antineutrino Detector Zealously Outperforming Old Kamiokande, Super!)

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- 
- LBNO: each detector has its own project
    - UNO-BNL or UNO-Fermilab: 1500 – 2800km
    - HyperK-J-PARC: 295 km
    - European detector-CERN super and beta beams: 130 km

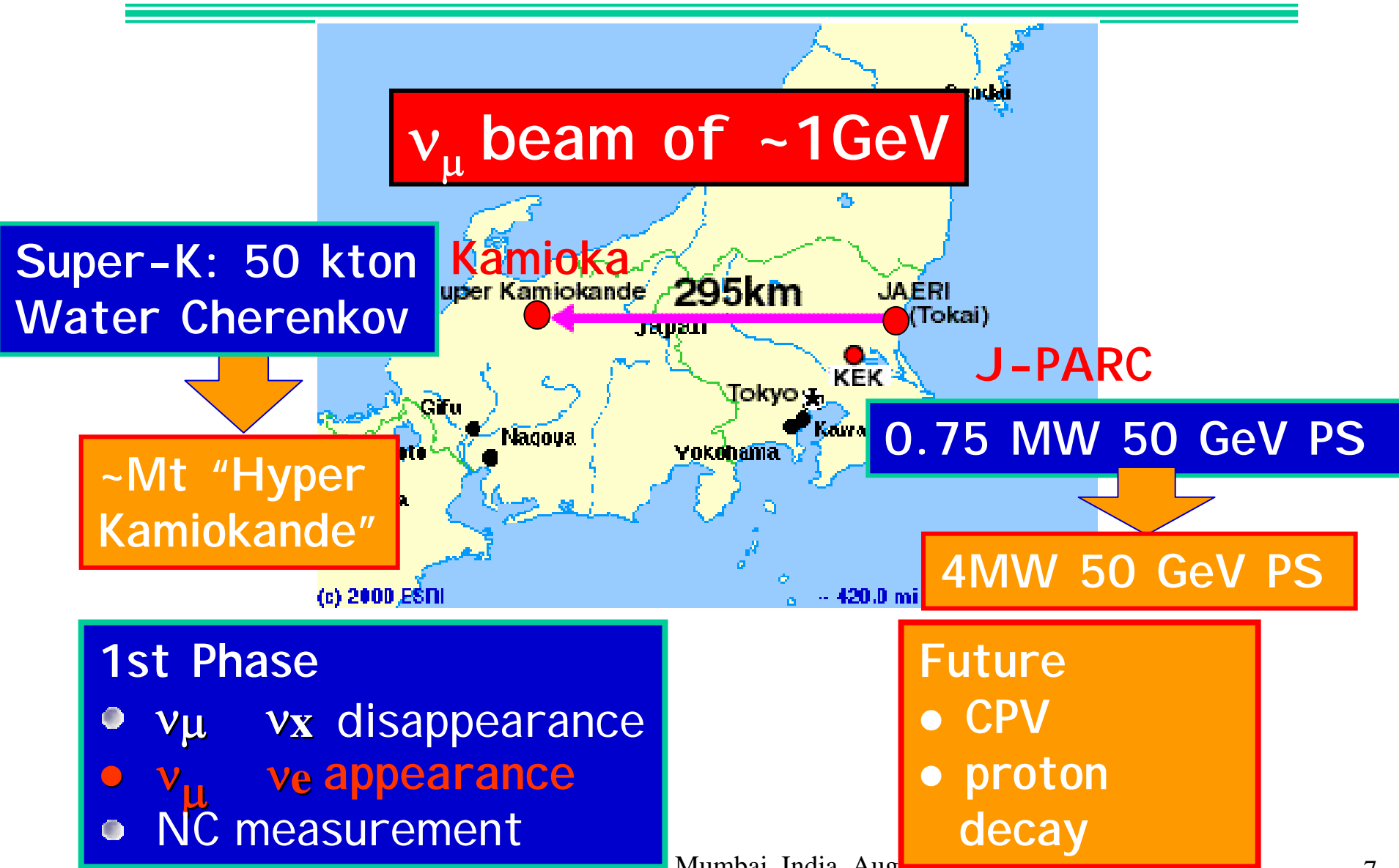


# DUSEL Candidate Sites and Potential Superbeam Experiments

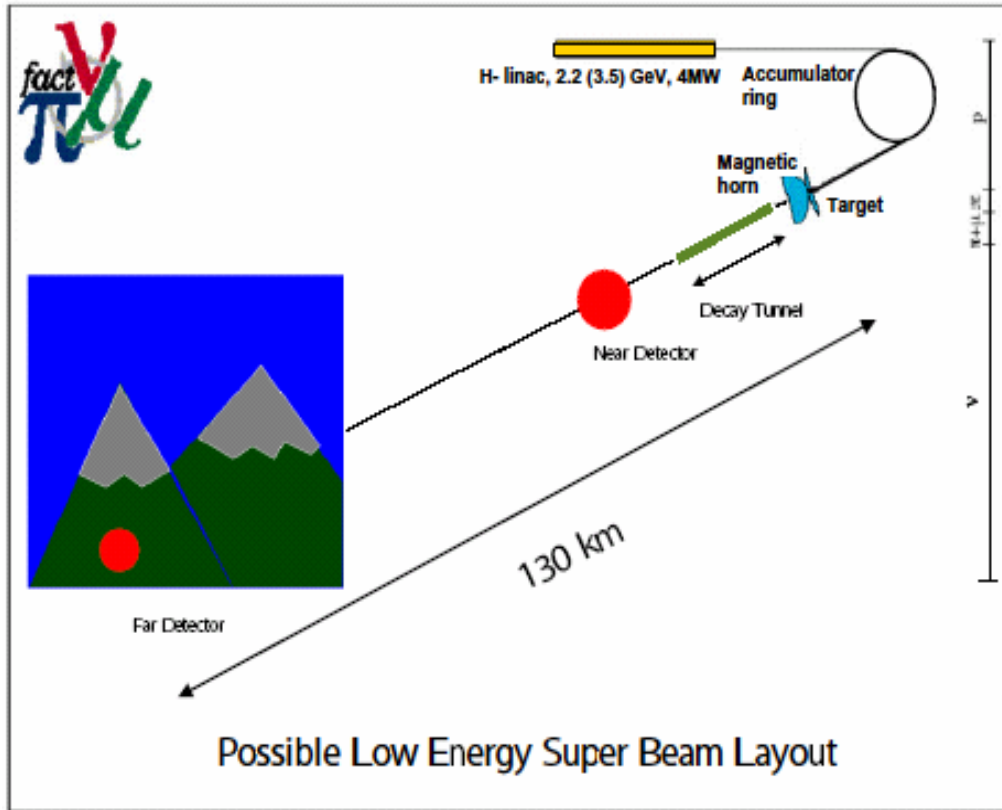


# Overview of the T2K Experiment

A word of warning: T2K-II J-PARC Phase II, rather beyond J-PARC Phase II

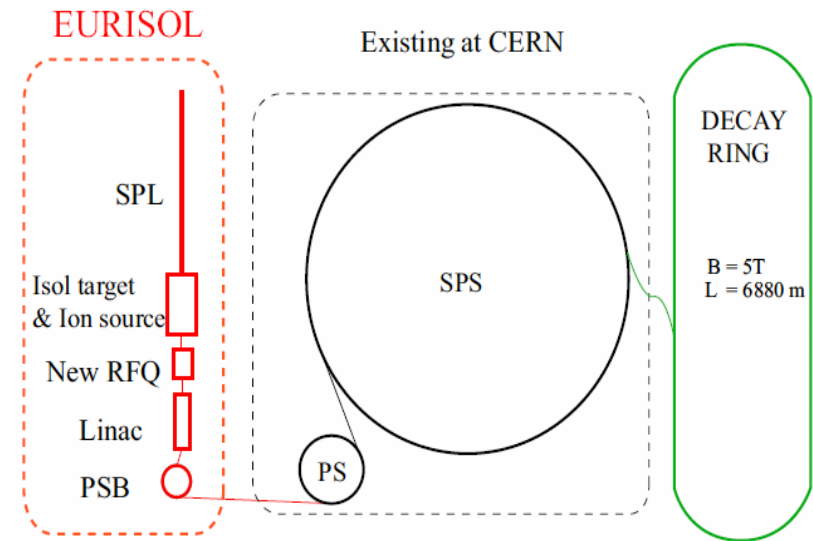


# CERN to Frejus: Super and Beta Beams



Beta Beam (P. Zucchelli: Phys. Lett. B532:166, 2002)

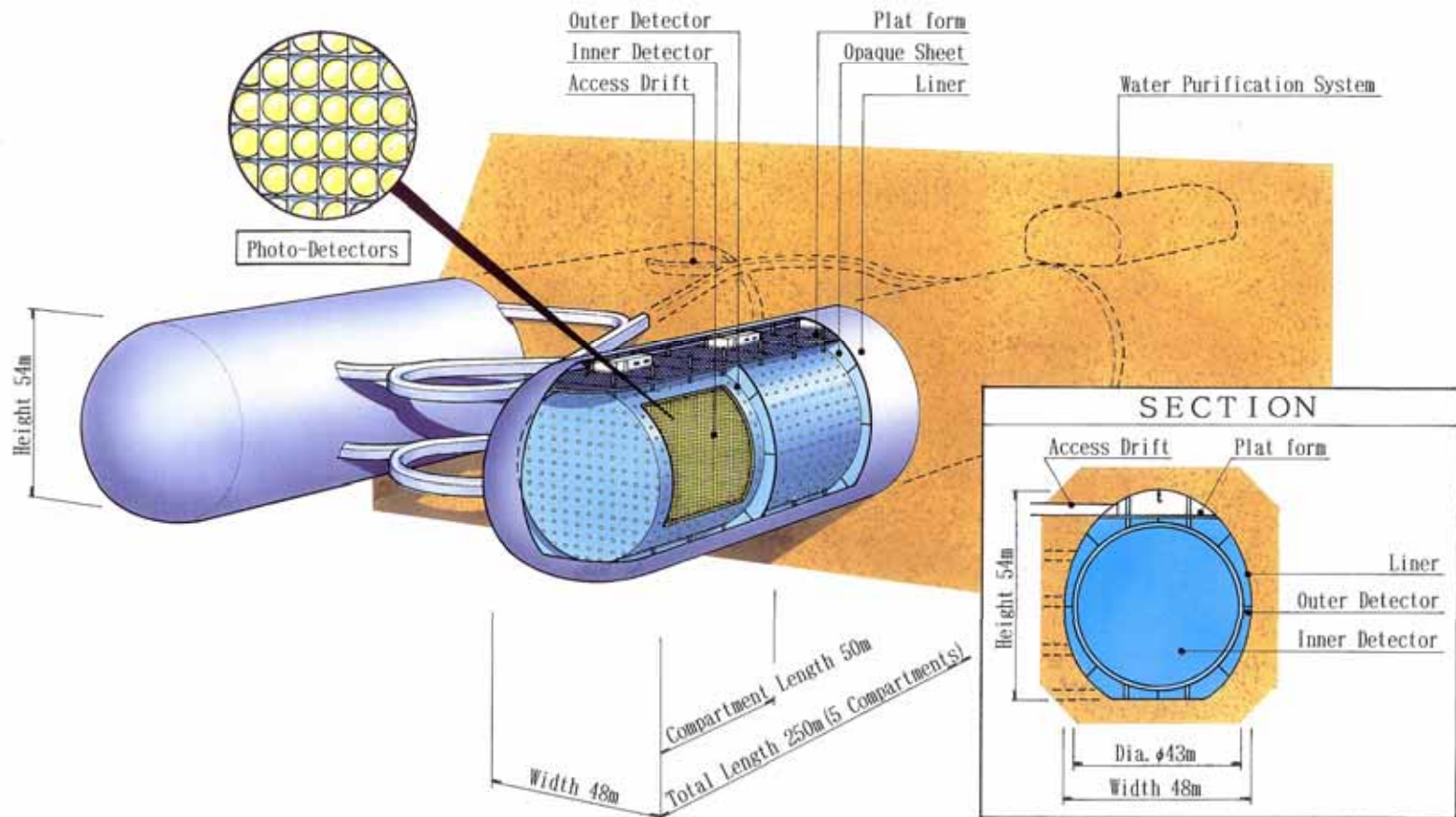
M. Lindroos et al., see <http://beta-beam.web.ch/beta-beam>





# Conceptual Design of Hyper-Kamiokande

~ 1 Mton water Cherenkov detector at Kamioka

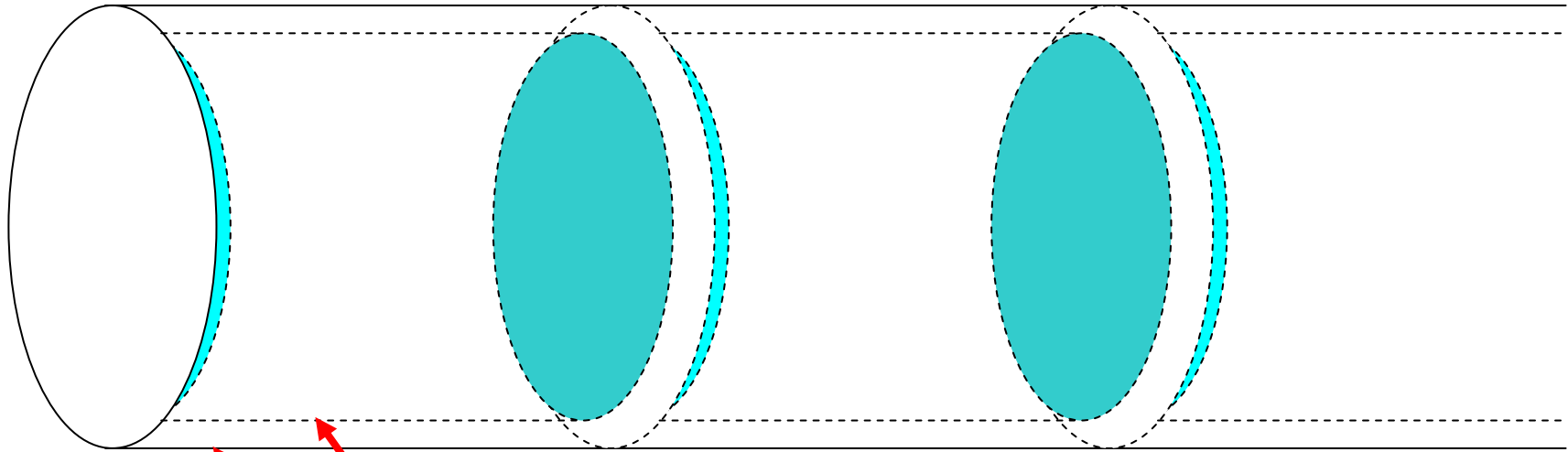


# Why this design has been chosen ?

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- Water depth < 50 m  
(If the present 20-inch PMT or similar one will be used.)
- Linear dimensions for light path < 100 m
- Optimization of  $M_{\text{FID}}/M_{\text{TOTAL}}$
- Rock stability
  - Avoid sharp edges. Spherical shape is the best.
- Our solution: Tunnel-shaped cavity
- Single Cavity or Twin Cavities?
  - Single Cavity
    - $M_{\text{FID}}/M_{\text{TOTAL}}$  is better
    - Cost is lower
    - Larger area of stable rock mass needed.
  - Twin Cavities
    - Two detectors are independent. One detector is alive when the other is calibrated or maintained.
    - Both cavities should be excavated at the same time. But staging scenario is possible for the later phase of the detector construction.
- Our solution: Twin cavities

# Fiducial / Total



Fiducial volume:  $39\text{m} \times 45\text{m} \times 5 \text{ sections} \times 2 = 0.54 \text{ Mton}$

Total Inner detector volume:  $43\text{m} \times 49\text{m} \times 5 \text{ sections} \times 2 = 0.72 \text{ Mton}$

Total detector volume: 1 Mton

Total number of PMTs: 200,000 (if  $2/\text{m}^2$ )

# Comparison of 3 Generations of Kamioka Nucleon Decay Experiments

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	Kamiokande	Super-Kamiokande	Hyper-Kamiokande
Mass	3,000 t (+1,500 t)	50,000 t	1,000,000 t
Photosensitive Coverage	20 %	40 % (SK-I and -III) 20 % (SK-II)	?
Observation Started	1983	1996	?
Cost (Oku-Yen)*	5	100	500?**

\* 1 Oku-Yen  $\approx$  1M\$

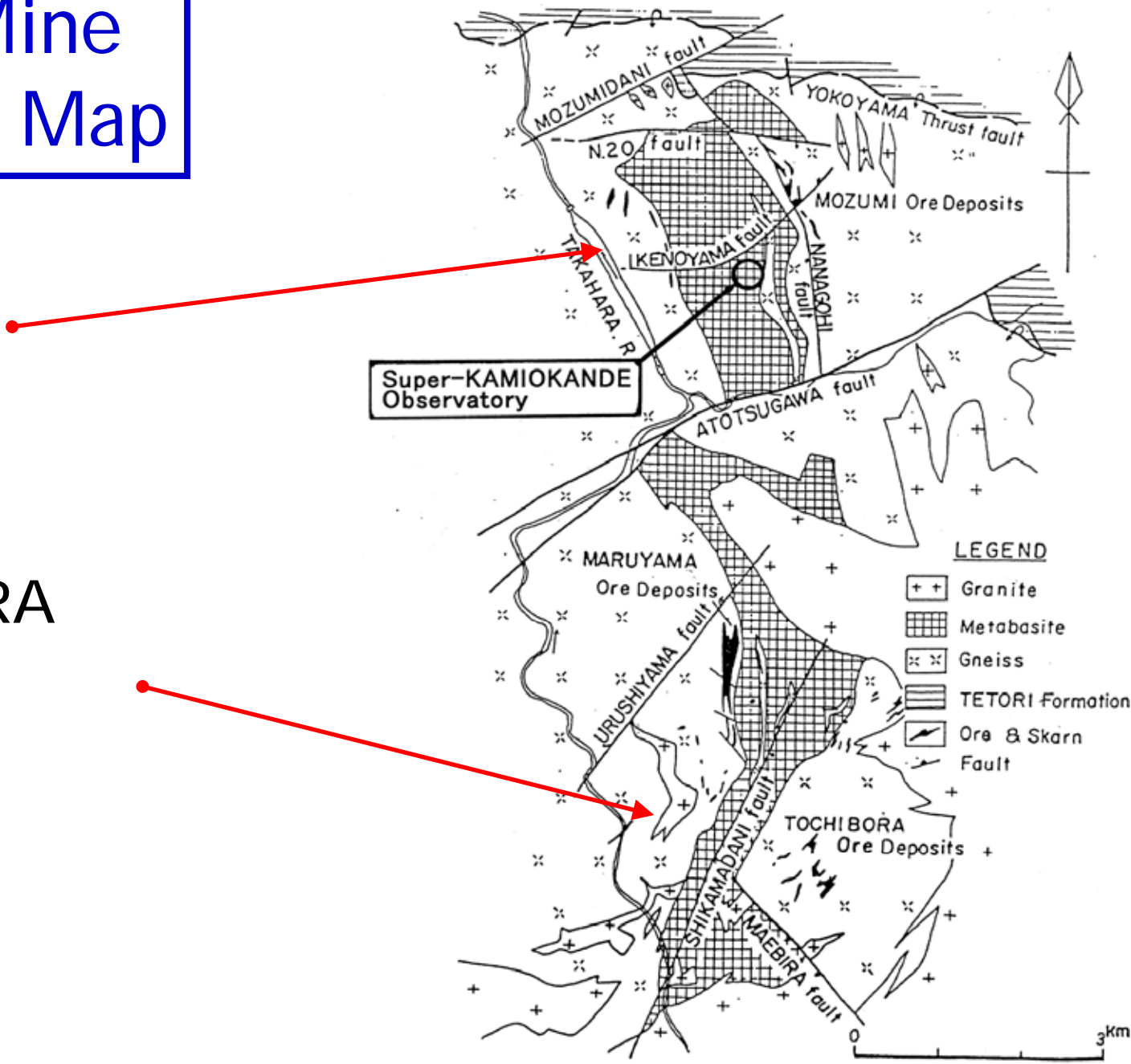
\*\* Target cost; No realistic estimate yet

# Kamioka Mine Geological Map

MOZUMI  
Mine

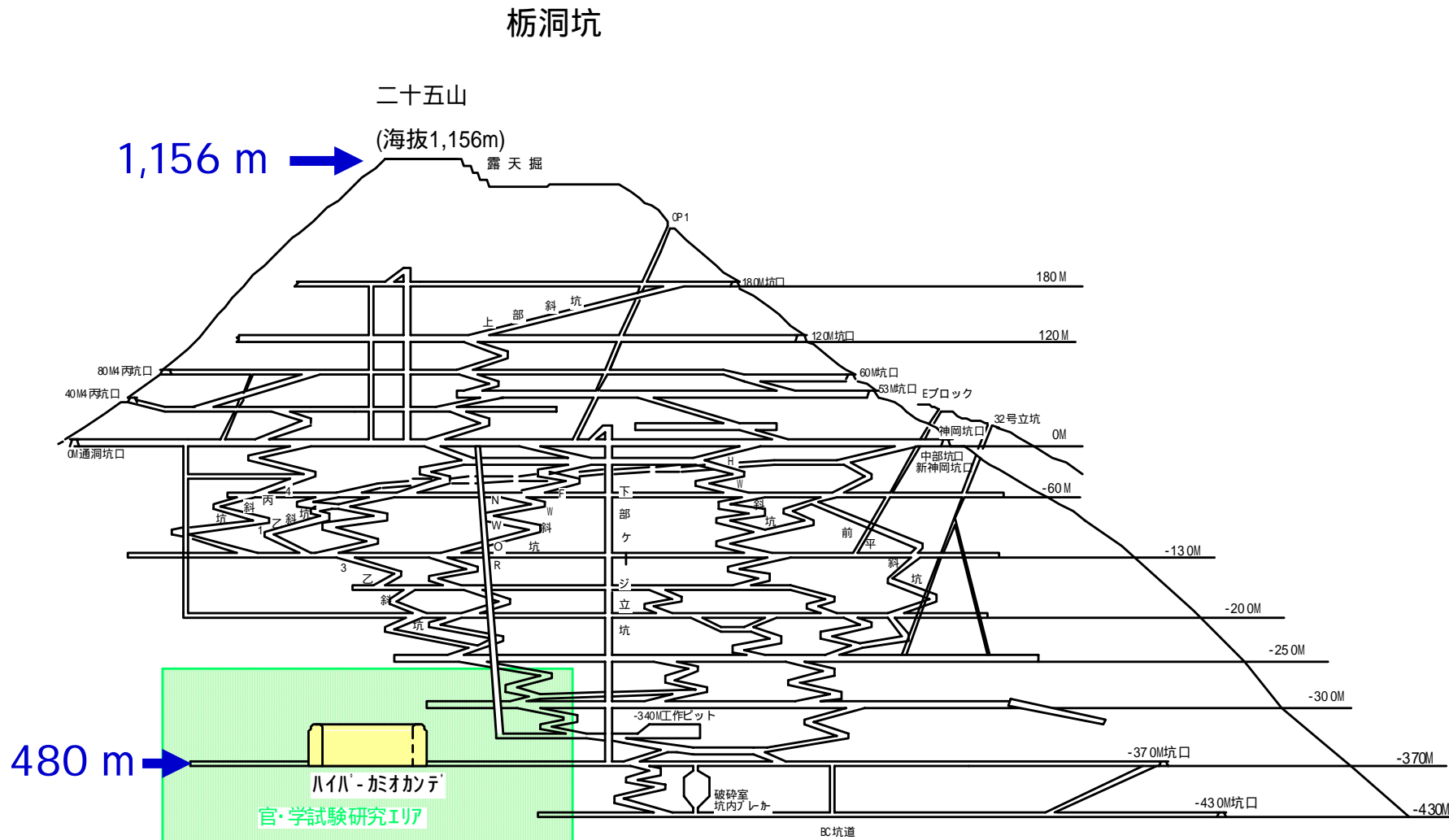
TOCHIBORA  
Mine

## GEOLOGY AND ORE DEPOSITS OF KAMIOKA MINE





# Hyper-Kamiokande in Tochibora Mine



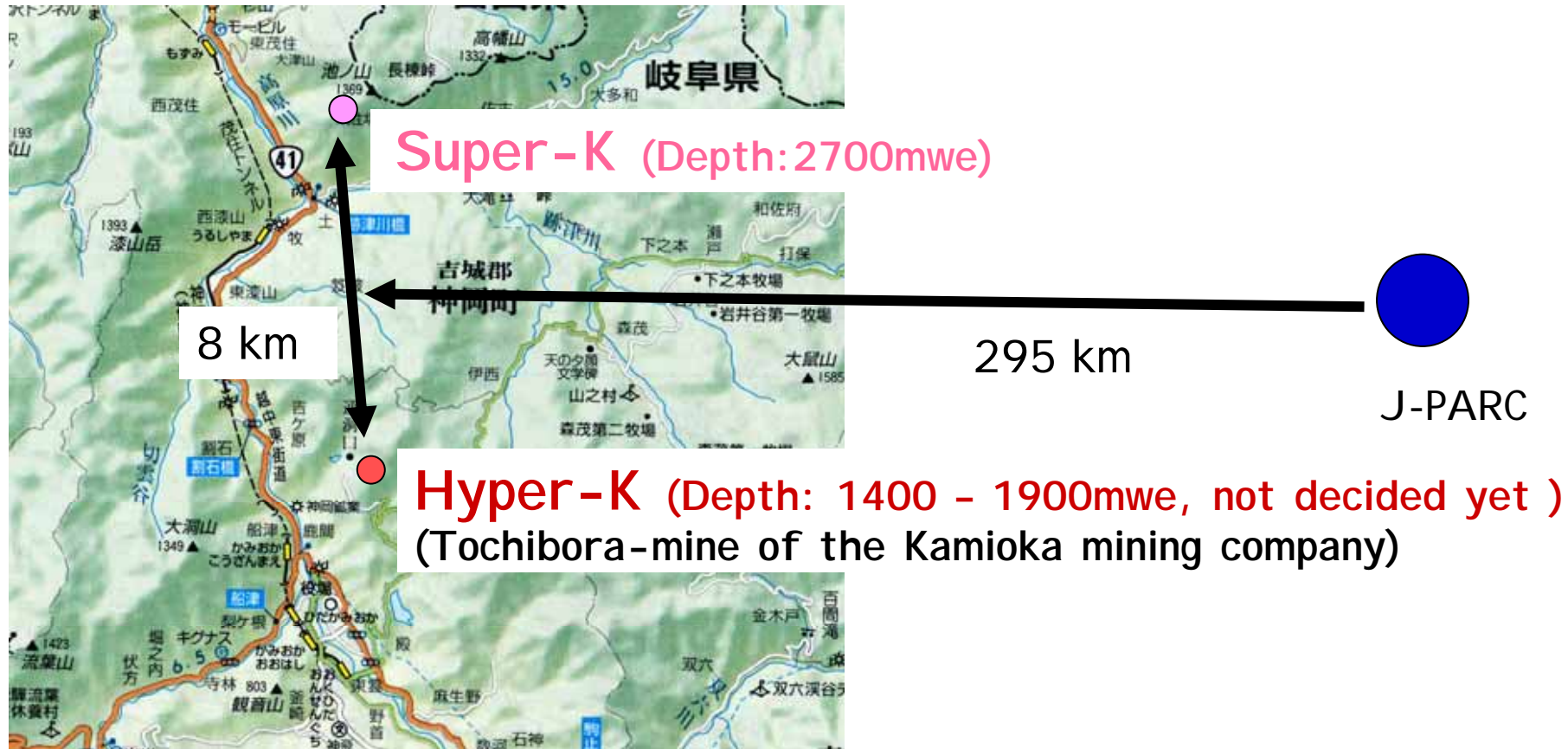
## Hyper-Kamiokande

# Why Tochibora Mine?

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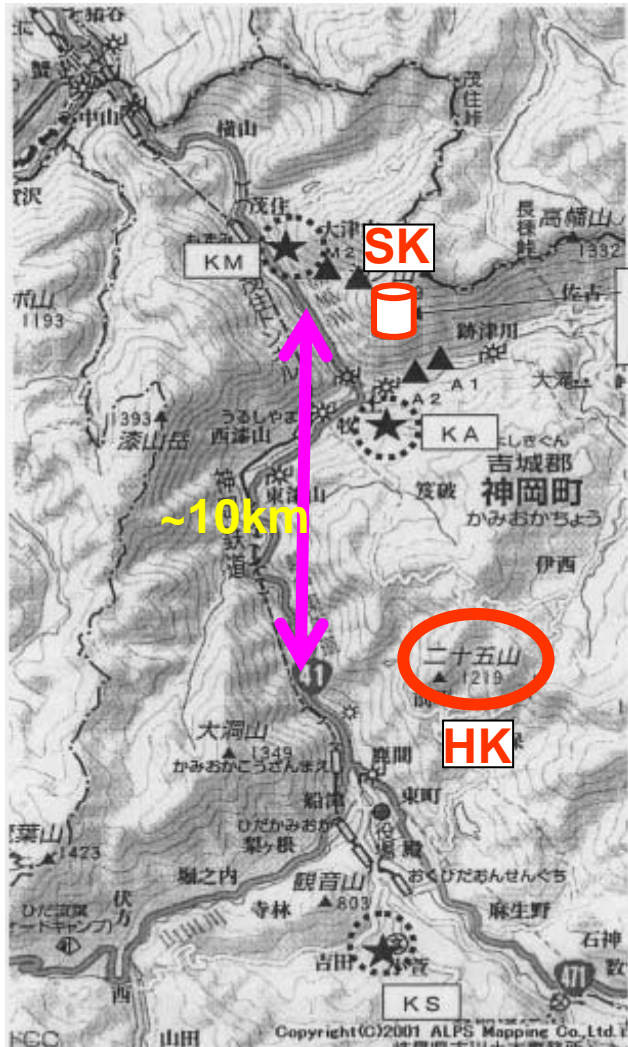
- Rock mass quality is better at Tochibora site. That at Mozumi site is not preferable for excavation of a very large cavity.
- Large-scale blasting should be avoided near the Super-K and KamLAND detectors.
- Tochibora site is shallower than Mozumi site, but OK for events with  $> 100$  MeV deposited energy or with good timing definition.
- We consider the Tochibora site as the primary candidate for Hyper-Kamiokande.

# Candidate Site: Relation with T2K

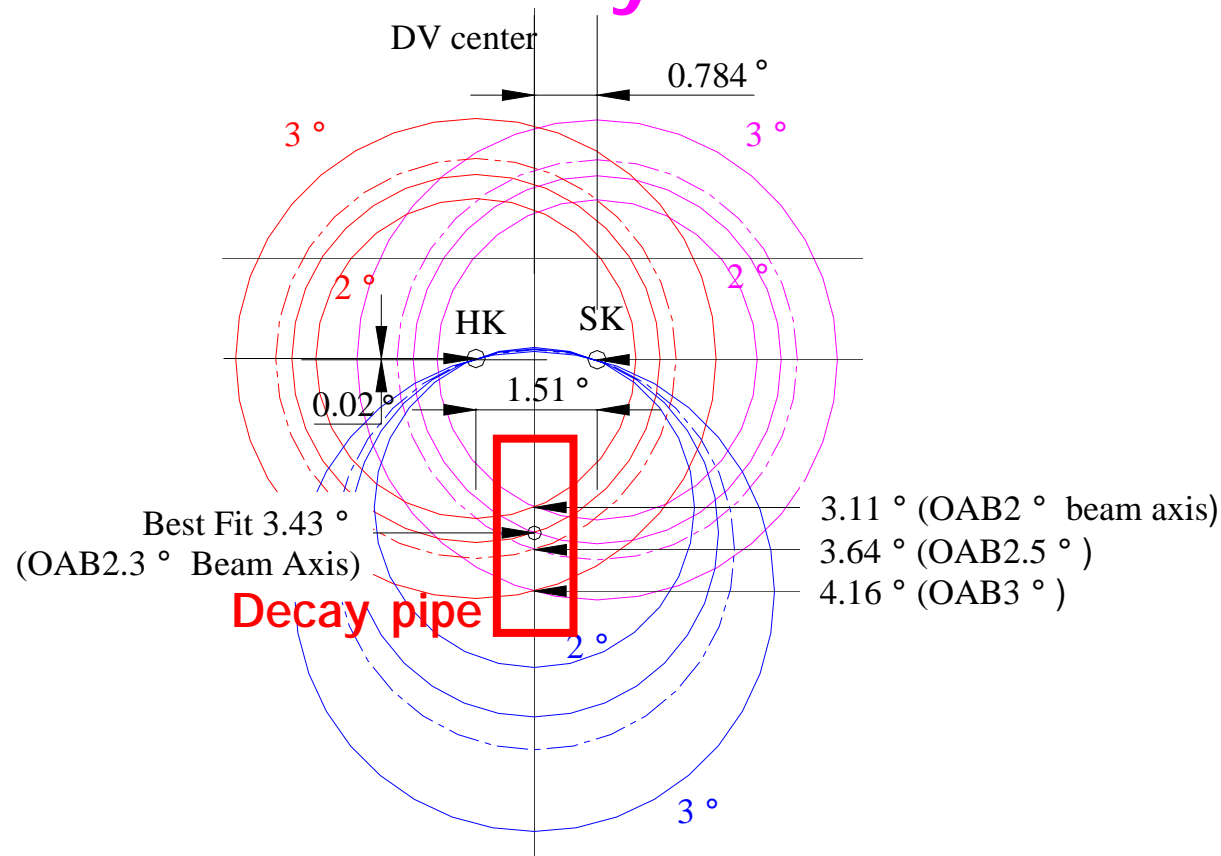


# Decay Pipe Common for SK/HK

Possible site for Hyper-K



Beam eye



must cover  $p/\pi$  beam axis  $-(3 \sim 4)$  deg  
corresponding to  $\Delta m^2 = (2.2 \sim 3.2) \times 10^{-3} \text{ eV}^2$

# What can be done with Hyper-K ?

- T2K long baseline neutrino oscillation experiment:
  - 2nd phase, CP violation
  - If the  $\theta_{13}$  measurement in the 1st phase gives only an upper limit, the 2nd phase will enhance the reach
- Proton decay
  - $e^+ \pi^0$  Reach:  $\tau_p(e^+\pi^0)/B \sim 10^{35}$  yr
  - $K^+$   $\tau_p(\nu K^+)/B \sim 10^{34}$  yr
  - and other modes
- Neutrino oscillation measurements with atmospheric neutrinos:  $\theta_{13}$ ,  $\text{sgn}(\Delta m^2)$ , sub-dominant osc., CP phase
- Measurements of low-energy neutrinos
  - Supernova neutrino ( $\sim 10^5$  neutrinos for a SN at the center of the galaxy)
  - Relic supernova neutrinos
  - Solar neutrino measurements
    - Possible at the Hyper-K site (600-700 m overburden) ? Under study.



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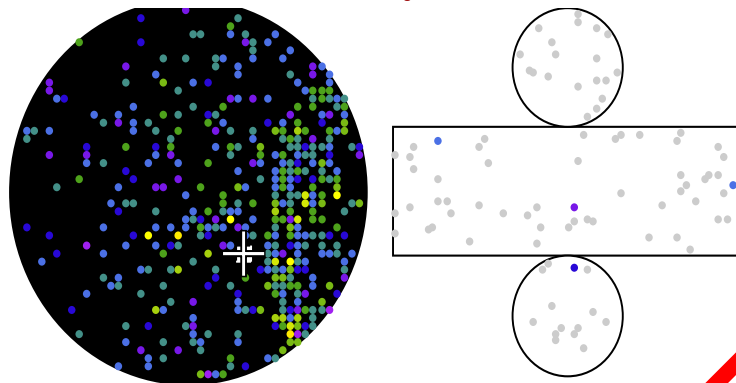
# Physics Objectives

Other than Long Baseline Neutrino Oscillations (see the other my talk), Atmospheric Neutrino Observations (see Nakayama's talk), and Solar Neutrino Observations (HK site is shallow)

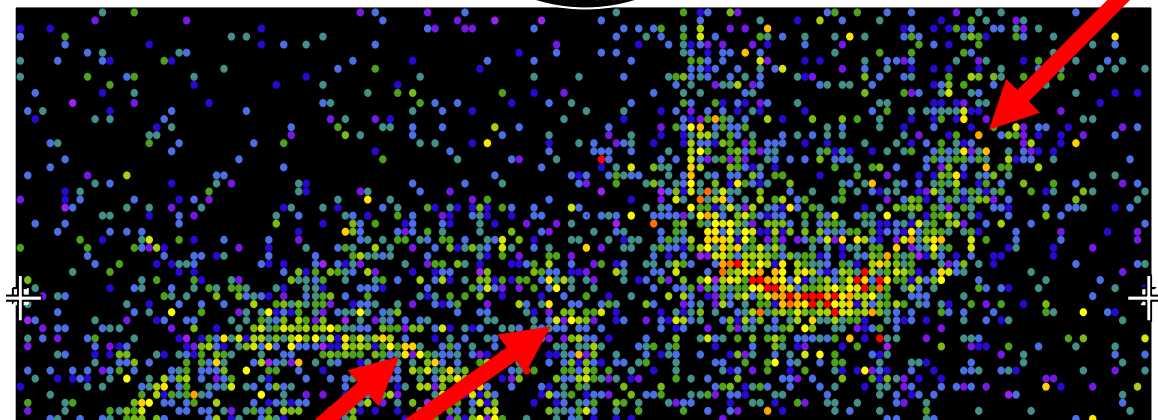
# Search for proton decays

niokande

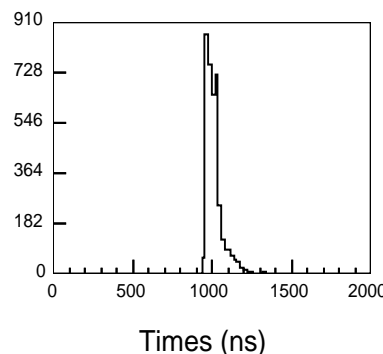
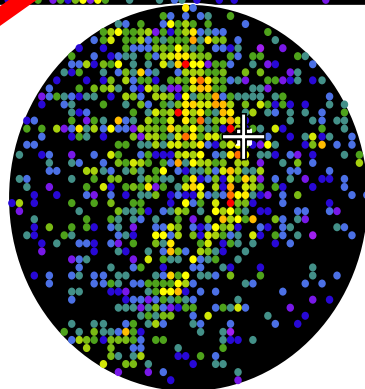
Event 294  
6:35  
ts, 8189 pE  
2 pE (in-time)  
:03  
cm  
9.0 MeV/c<sup>2</sup>



Cherenkov ring  
produced by a  
positron



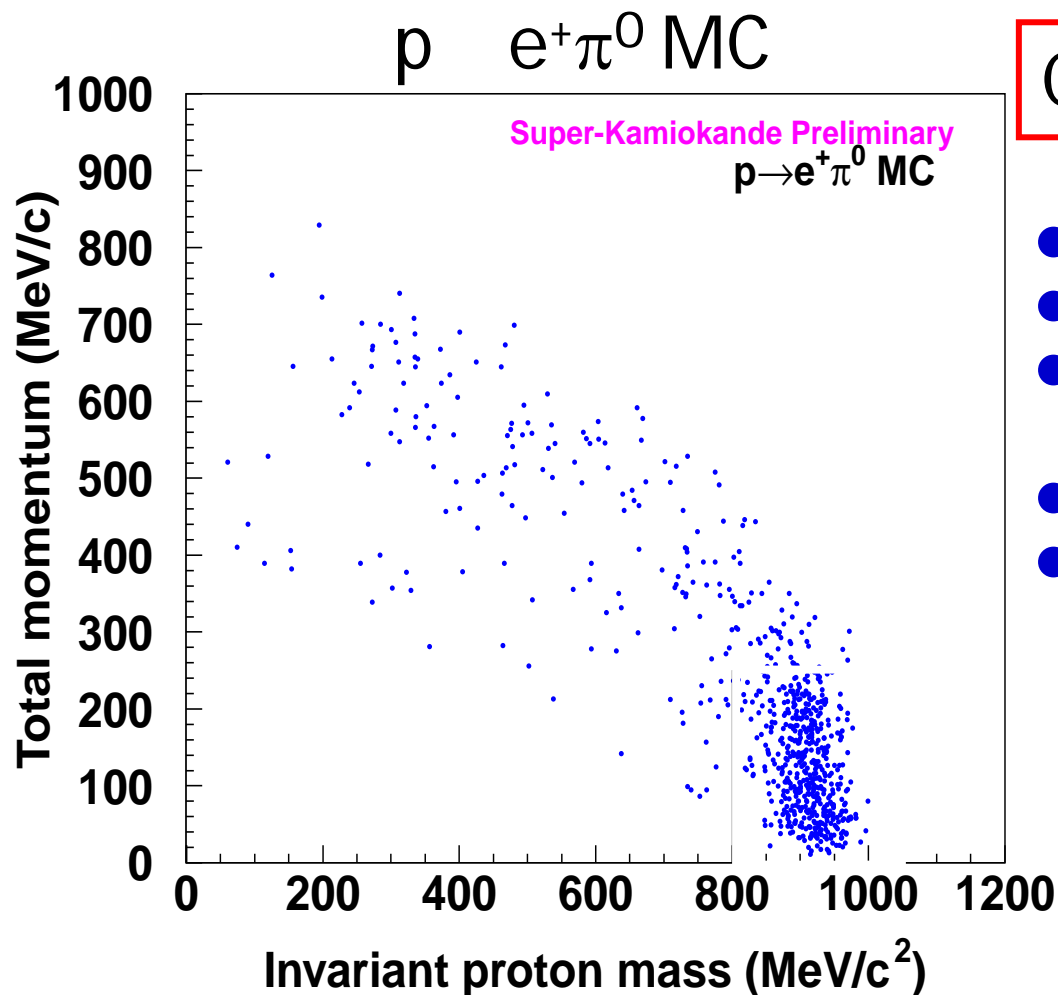
Cherenkov rings  
produced by  
decay gammas  
from  $\pi^0$



■ The following discussion assumes the same photo-sensitive coverage as SK-I (40%).

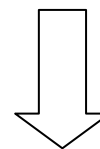
■ The sensitivity to proton decay with reduced photo-sensitive coverage should be studied.

# $p \rightarrow e^+ \pi^0$ in Super-K



## Criteria for $p \rightarrow e^+ \pi^0$

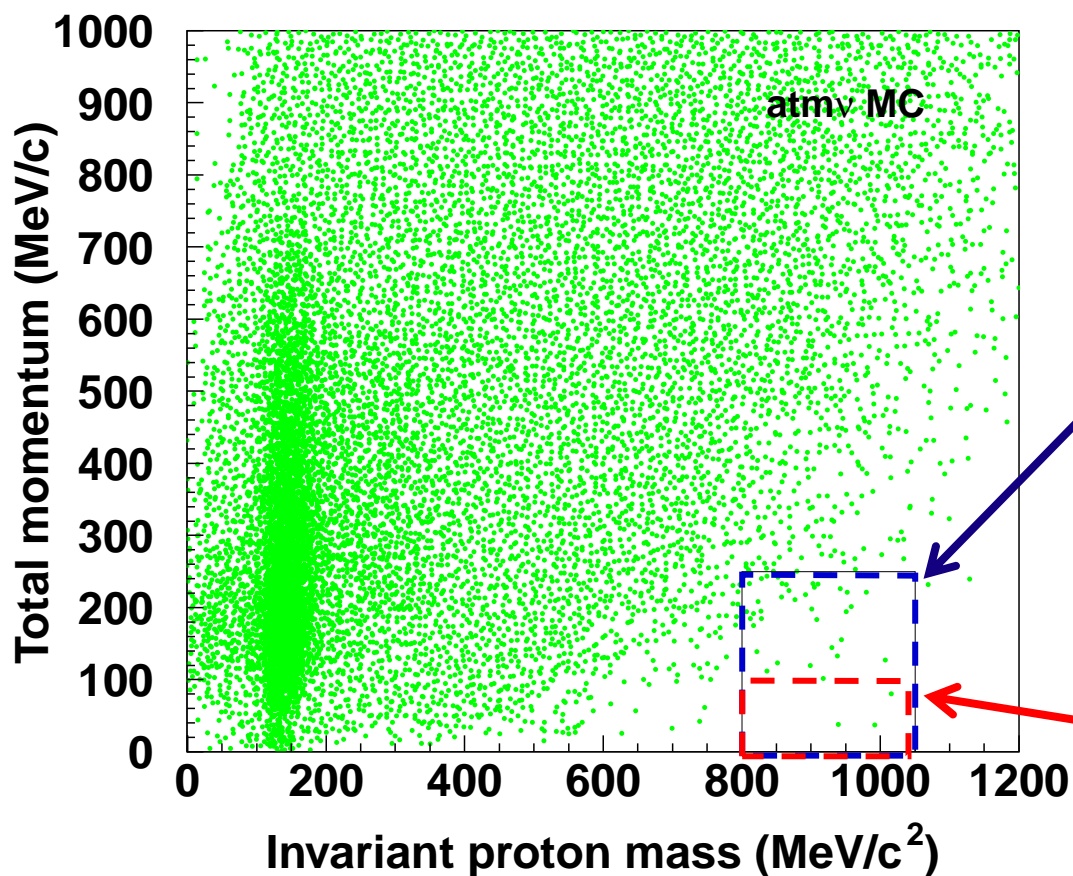
- 2 or 3 Cherenkov rings
- All rings are showering
- $85 < M_{\pi^0} < 185 \text{ MeV}/c^2$   
(3-ring)
- No decay electron
- $800 < M_{\text{proton}} < 1050 \text{ MeV}/c^2$   
 $P_{\text{total}} < 250 \text{ MeV}/c$



$\varepsilon = 40 \% \text{ in SK-I}$

# $p \rightarrow e^+ \pi^0$ in Hyper-K

20 Mton·yr atm BG MC



$p \rightarrow e^+ \pi^0$

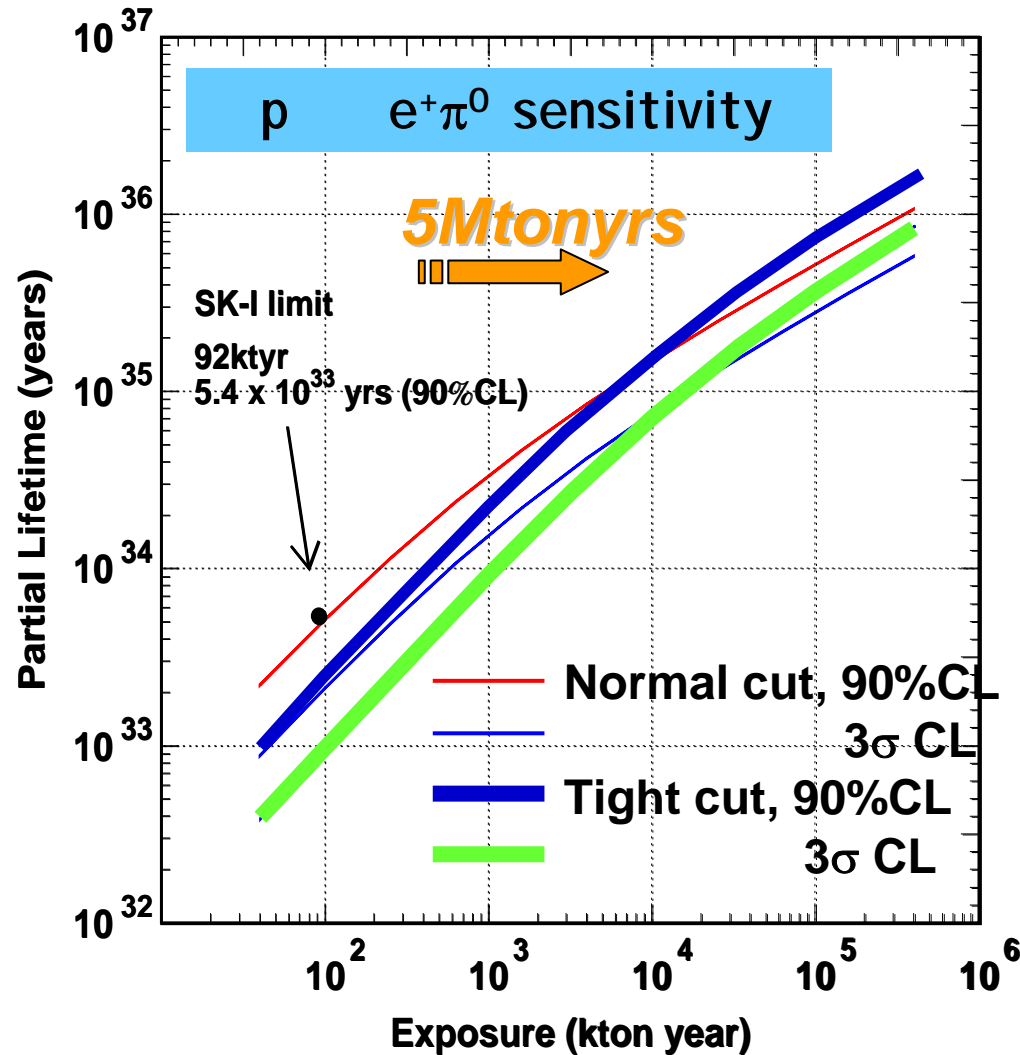
"SK cut"

~ 2.3 events/Mton·yr  
= 43 %

"tight cut"

~ 0.15 events/Mton·yr  
= 17 %

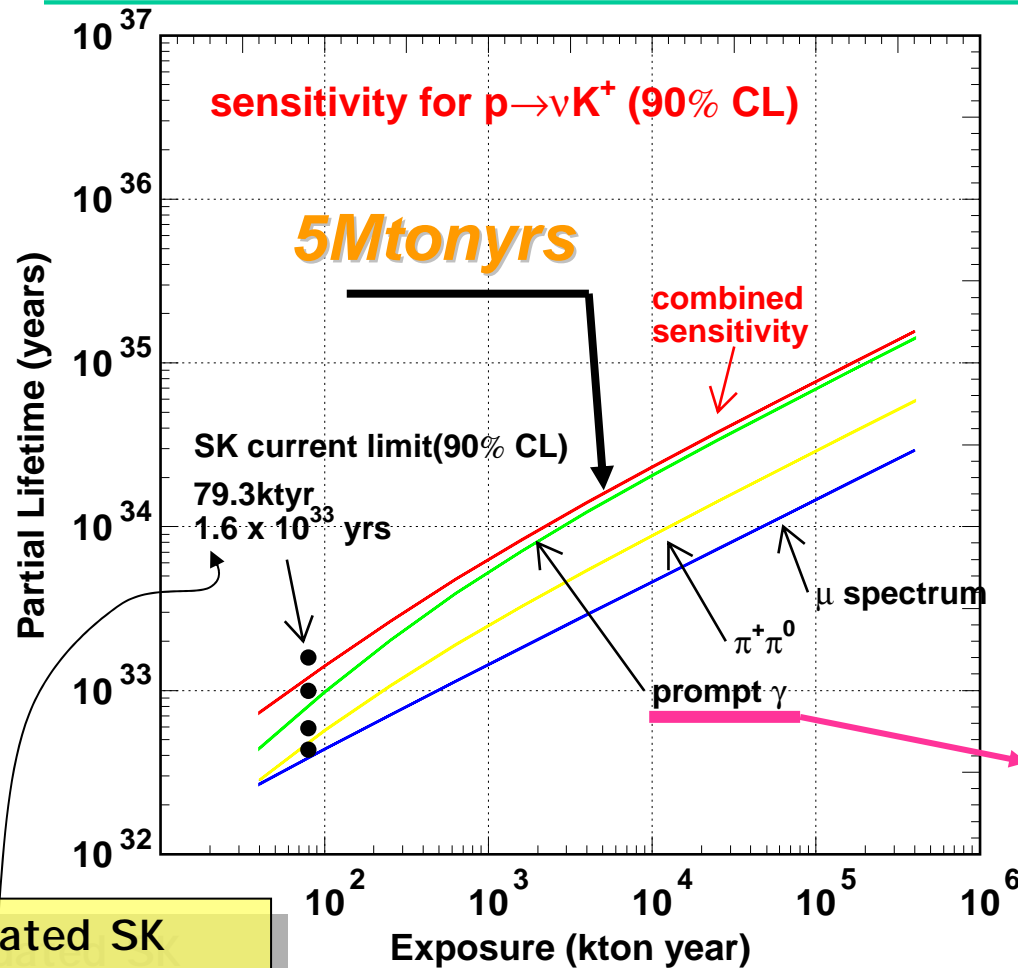
# Lifetime sensitivity for $p \rightarrow e^+ \pi^0$



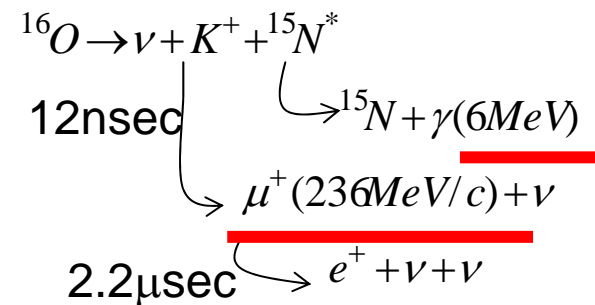
5 Mton·yr  $\rightarrow$   
 $\sim 10^{35}$  yr @90% CL  
 $\sim 4 \times 10^{34}$  yr @3 $\sigma$



# Lifetime sensitivity for $\nu K^+$ (based on SK criteria)



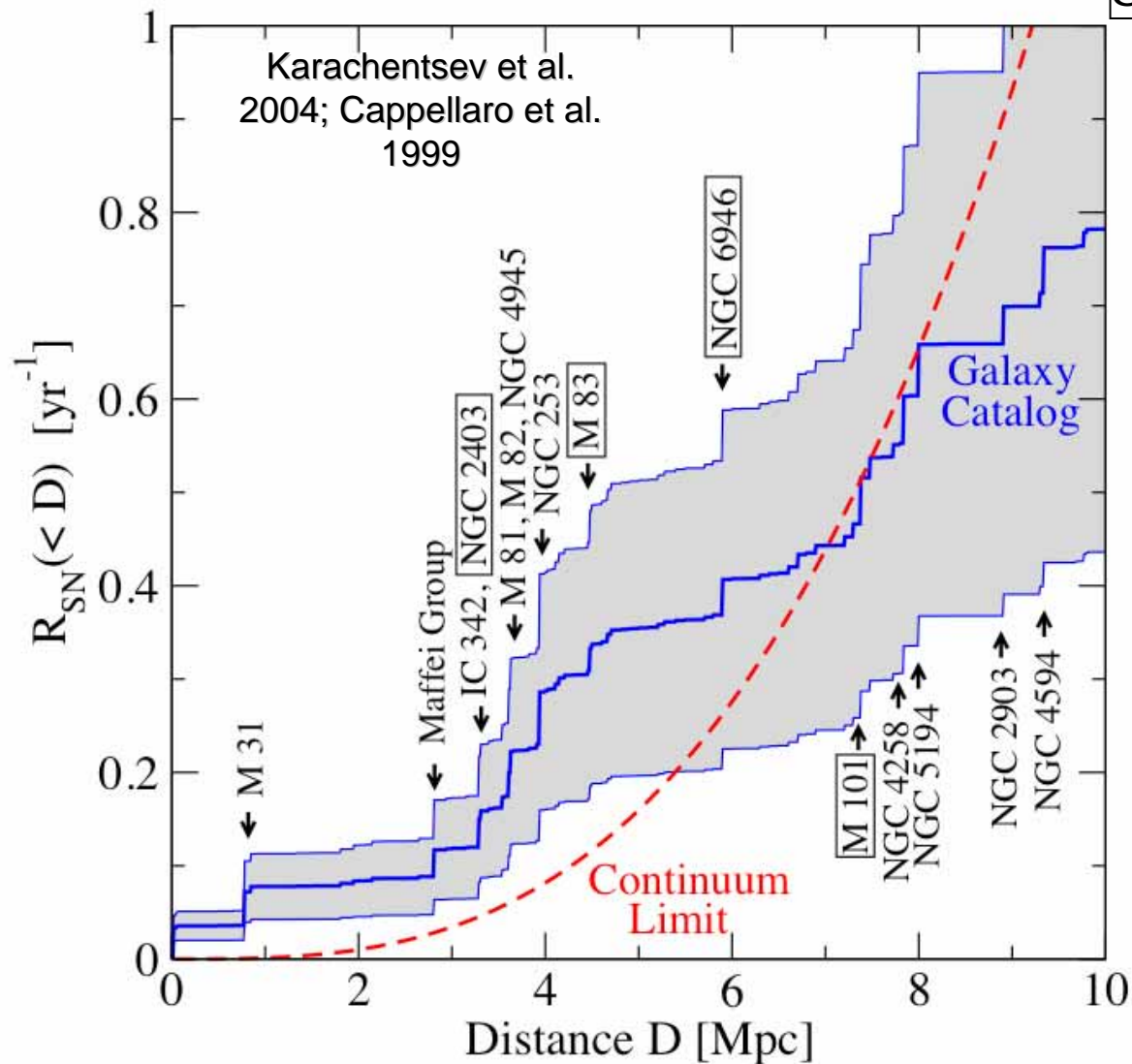
$\tau/B > 2 \times 10^{34} \text{ yr}$   
(5Mton·yr, 90% CL)



Question: How much photo cathode coverage is necessary?

# Supernova Rate in Nearby Galaxies

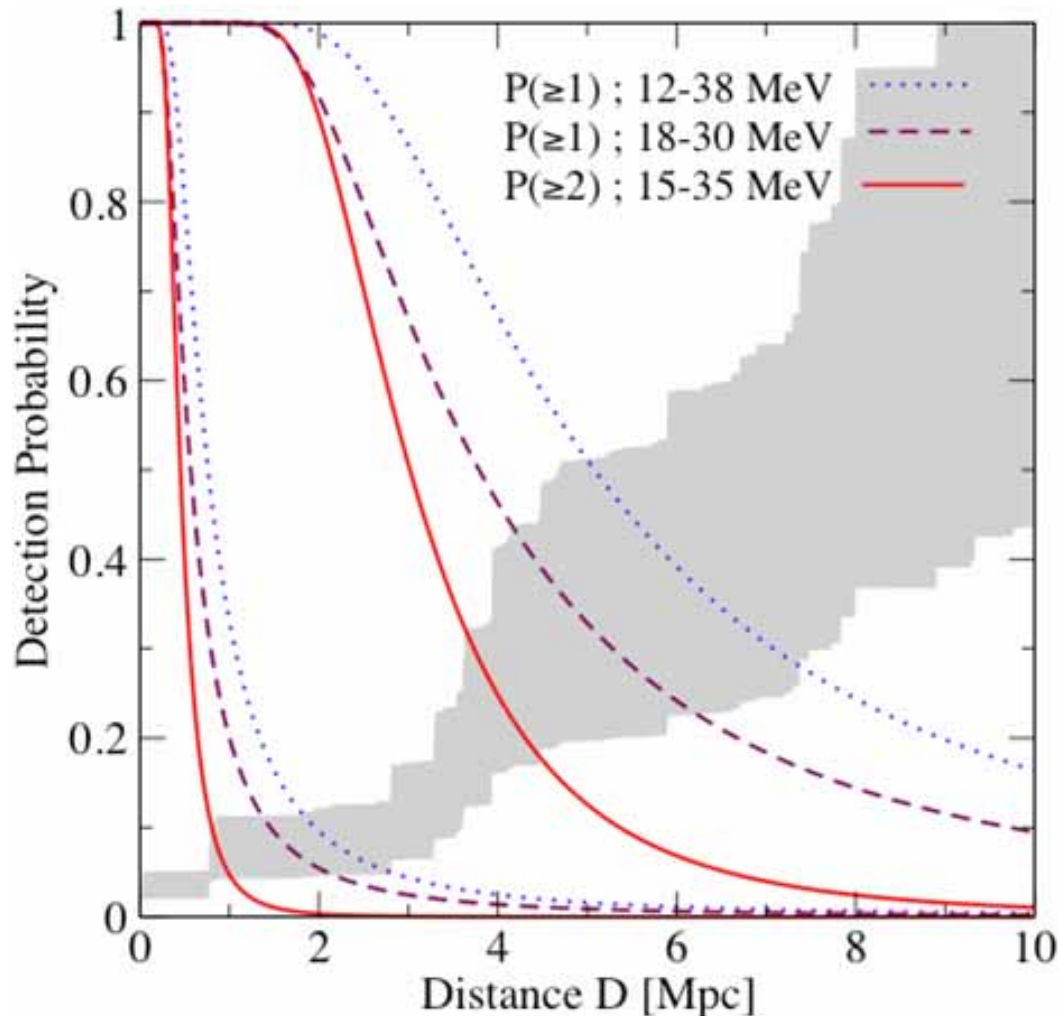
S. Ando, NNN05



# Detection Probabilities

S. Ando, NNN05

1 Mton fiducial mass assumed



Real chance to detect more than 1 or 2 events

More than 2-event detection

Essentially background-free

Accidental coincidence rate:  $\sim 0.1$  /yr

1-event detection

Need astronomers' help to restrict time-bin.

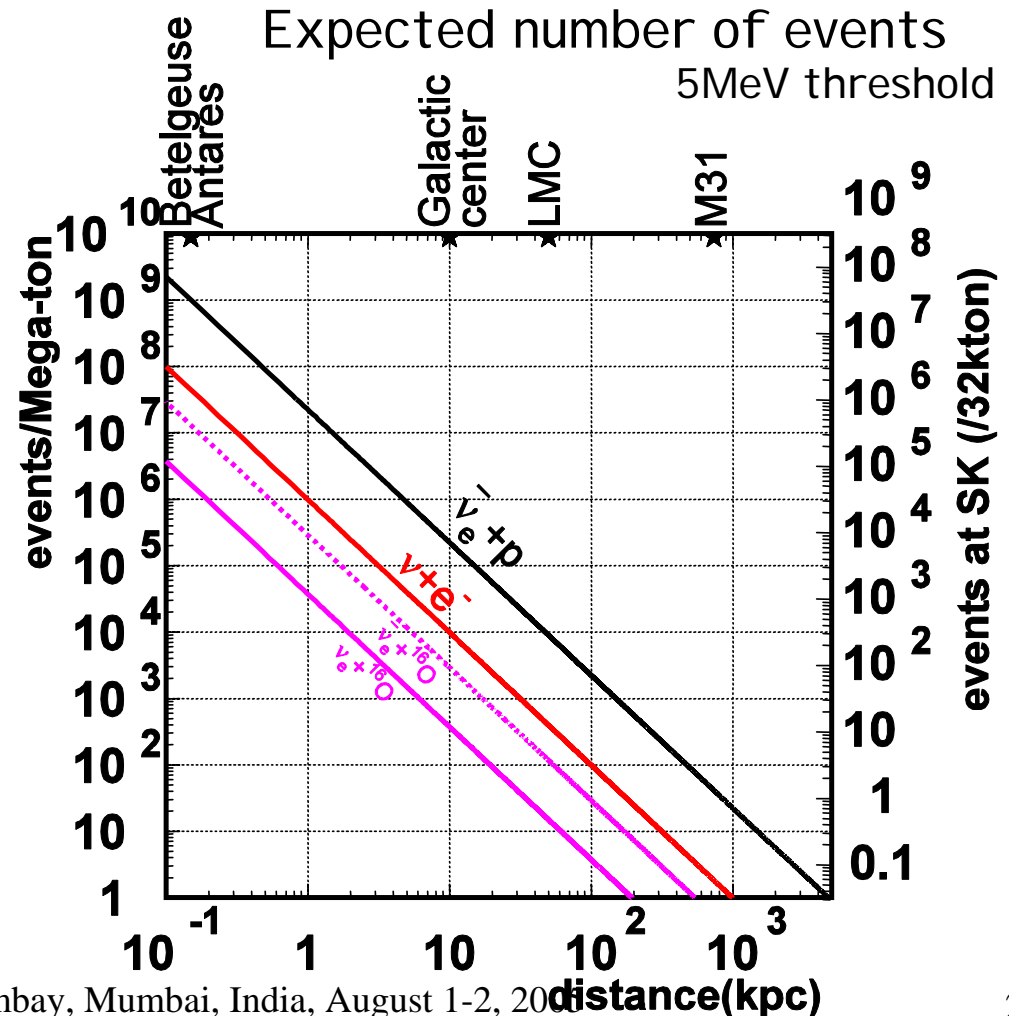
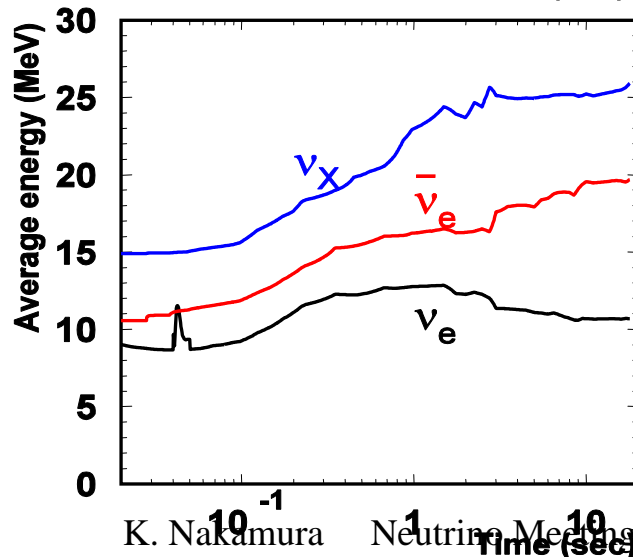
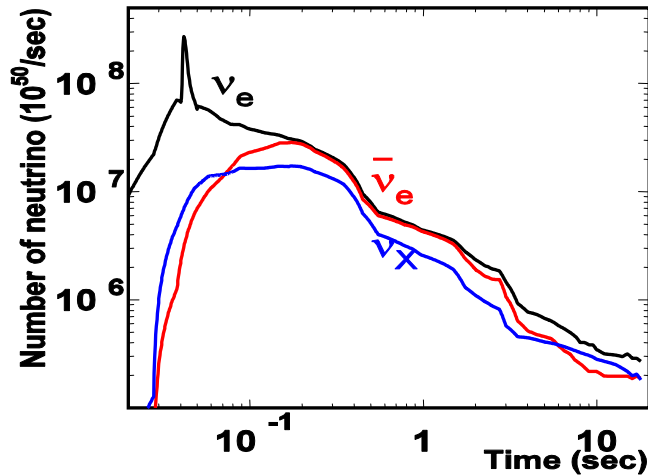
# Supernova event rate in Mega-ton detector

Livermore simulation

M. Nakahata, NNN05

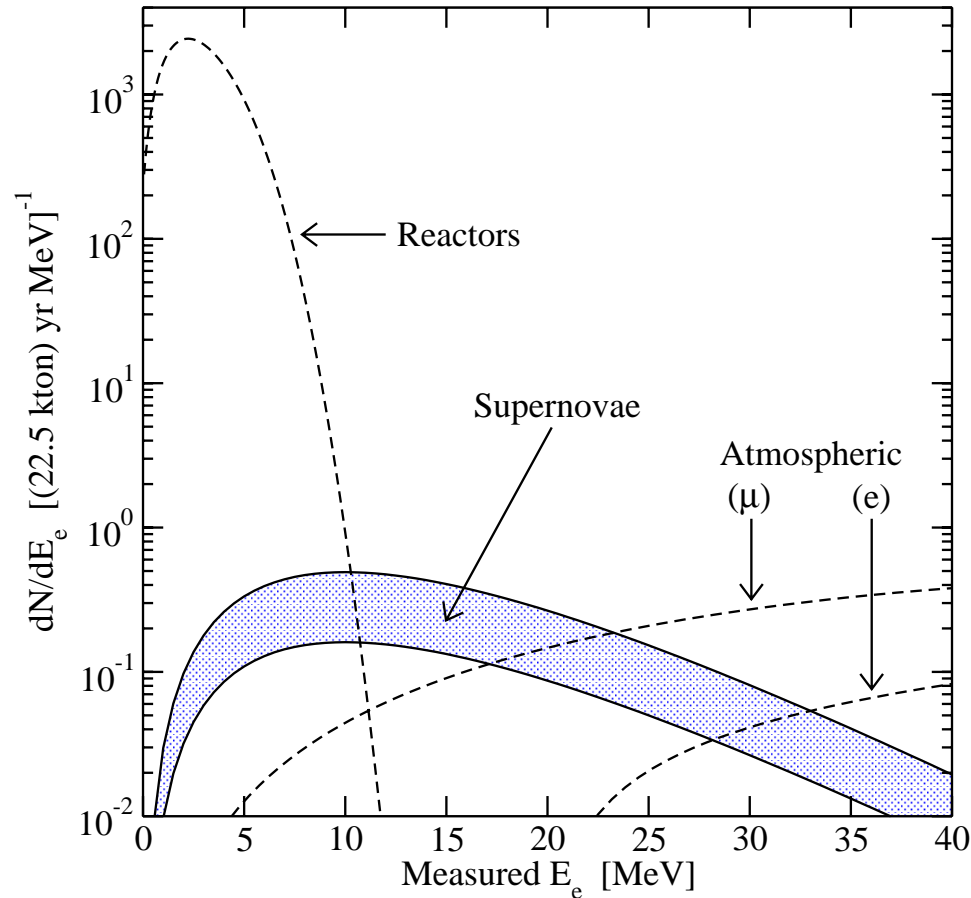
1 Mton fiducial mass assumed

(T.Totani et al., ApJ.496,216(1998))



# Relic Supernova Neutrinos

From our GADZOOKS! paper, here's what the coincident signals in SK or HK



M. Vagins, NNN05

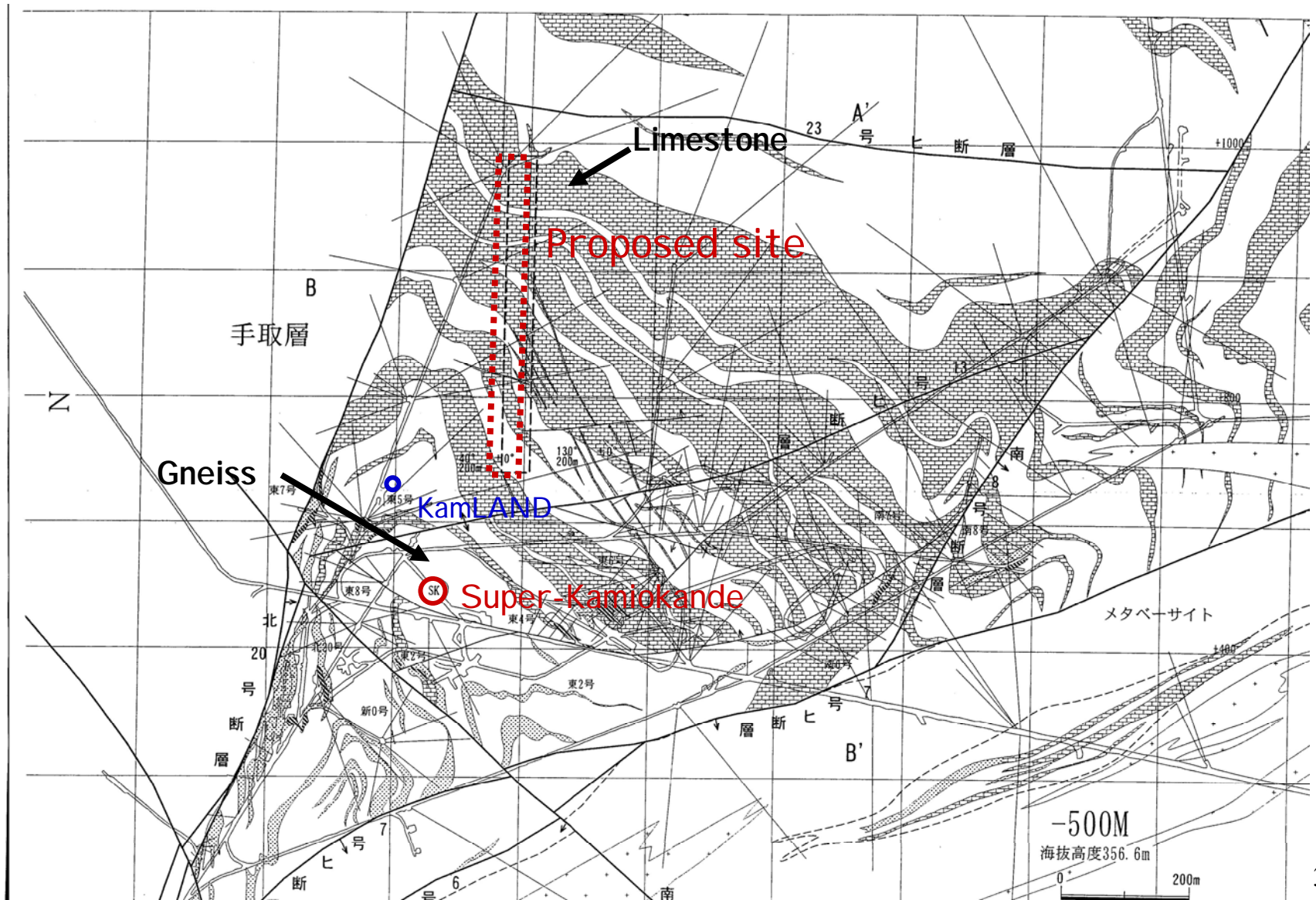
HK will collect >100 clean Diffuse SN Background (relic) events per year!

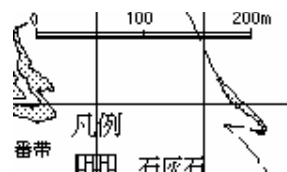
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# Site Studies



# Mozumi Mine





# Geological Map of Proposed Site at TOCHI BORA mine

Plan View of + 480mEL



MITSUI  
KINZOKU

Hyper-K  
proposed Site

Hornblende  
Biotite Gneiss  
& Migmatite

"NAMARI" Fault

"ANKŌ" Fault

Existing  
Tunnel  
Surveyed

Biotite Gneiss

"240'-ME" Fault

Limestone

Skarn  
Orebody  
Zone

-370M

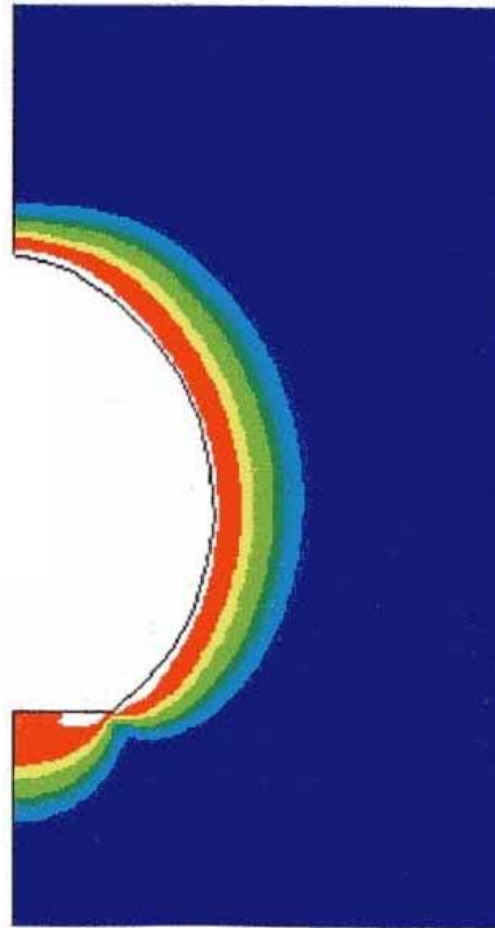
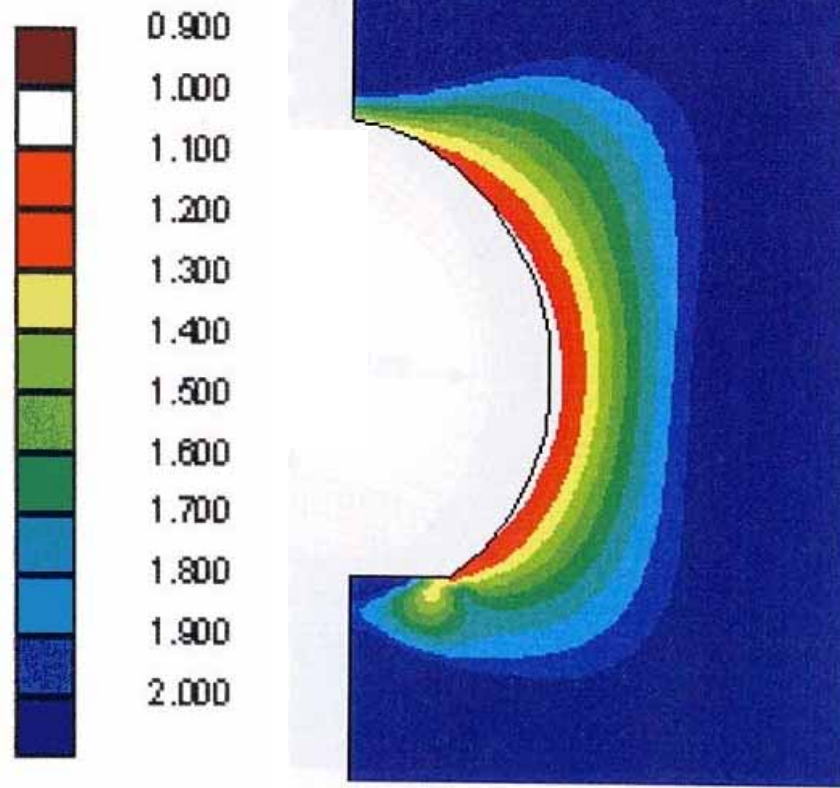
# Rock Properties at Proposed Sites for Hyper-KAMI OKANDE Cavern

Items		Location	
		MOZUMI Mine	TOCHIBORA MINE
Overburden (Subsurface Depth)		870 m	600-700 m
Rock Types		Hornblende Gneiss, Migmatite, partly with Limestone	Hornblende Biotite Gneiss, and Migmatite
Density		0.026 MN/m <sup>3</sup>	0.026 MN/m <sup>3</sup>
Compressive Strength		105 MPa - 120 MPa	150 MPa - 250 MPa
Tensile Strength		9 MPa	8 - 10 MPa
Young's Modulus		48 GPa	45 - 55 GPa
Poisson's Ratio		0.26	0.25
Discontinuities	Spacing	0.2 - 0.6 m	0.6 - 2 m
	Condition	Slightly Rough	Very Rough
	Orientation	Favorable	Very Favorable
Ground Water		None	None
Rock Quality Designation (RQD)		78 %	85 %
Rock Mass Ratings (RMR)		79	89
Rock Mass Classification			
		Good Rock Mass	Very Good Rock Mass
Rock Class (Japanese )		B - C <sub>H</sub>	A - B



# Finite Element Analysis of the Hyper-K Cavity Using the Rock Condition at the Tochibora Site

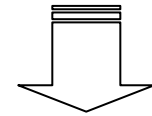
Safety  
factor



Experts say:

Regions with the safety factor < 1.3 need supports (rock bolt or wire)

The depth of the region with safety factor < 1.3 is similar to that in Super-K.



It seems possible to excavate the Hyper-K cavity.

$\frac{\text{pressure (horizontal)}}{\text{pressure (vertical)}}$

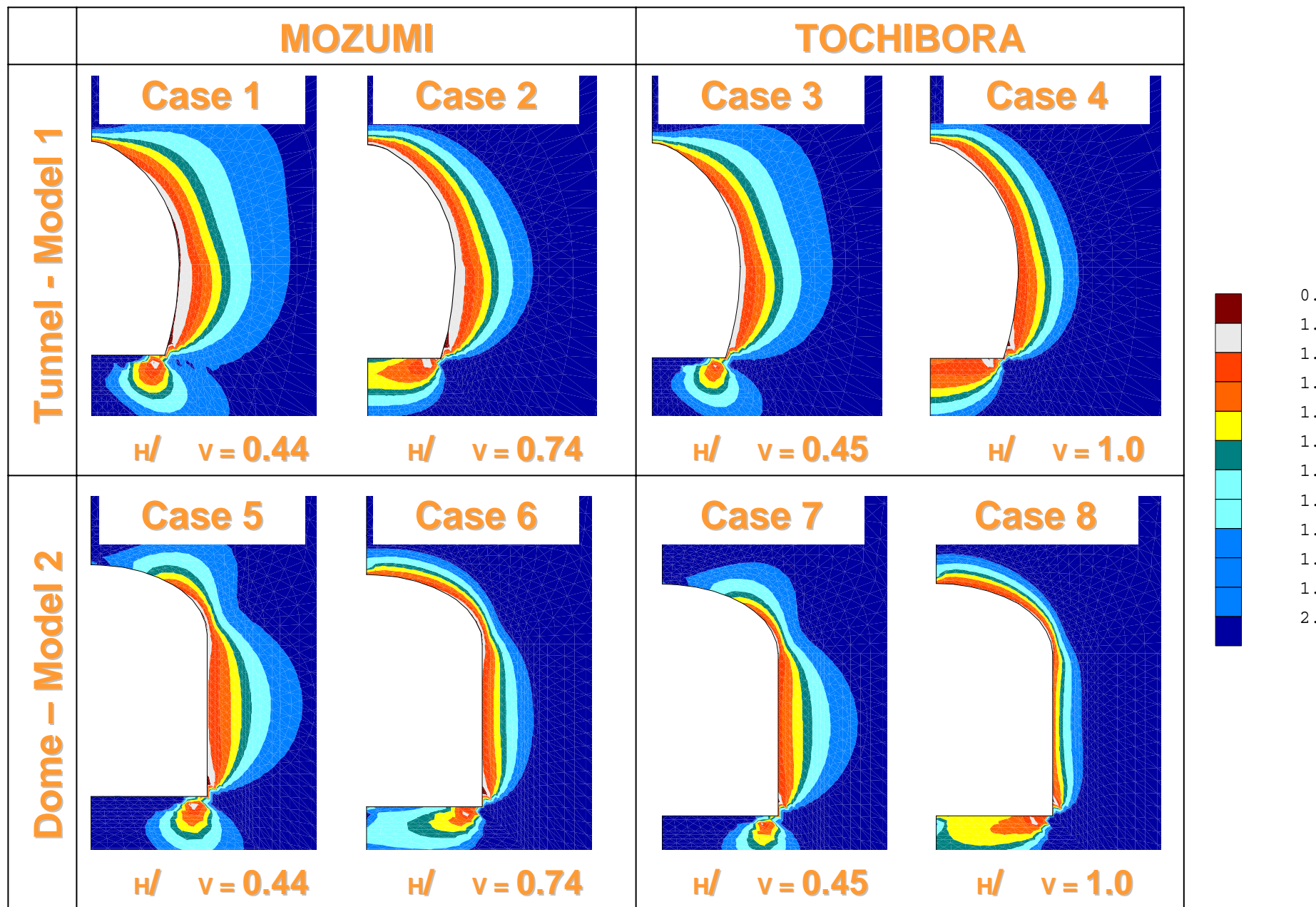
= 0.45

= 1.0

Super-Kamioka

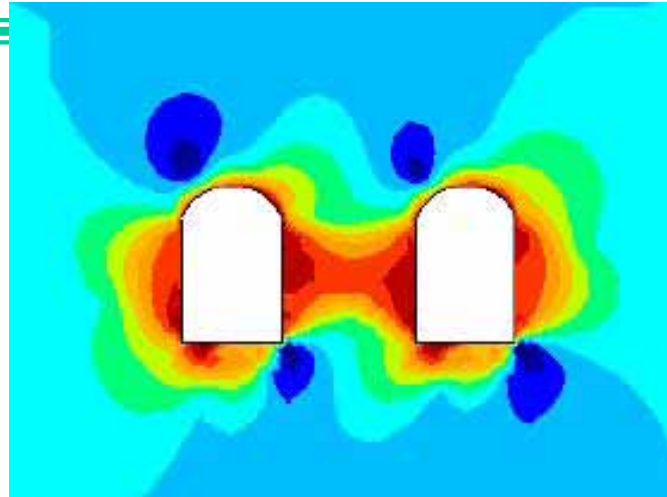
Workshop Meeting, IIT-Bombay, Mumbai, India, August 1-2, 2005

# Safety Factor Diagram around the Proposed Hyper-K Cavern

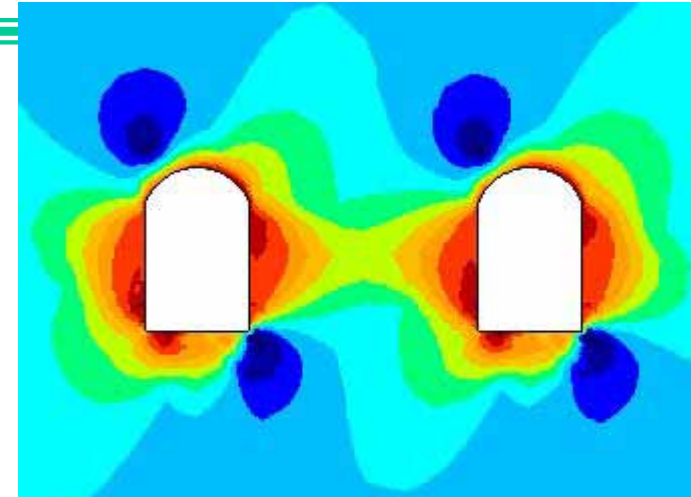


# Safety Factor Diagram around Hyper-K Two Parallel Cavern Model for Spacing Optimization Analysis at TOCHI BORA Mine

## Vertical Cross Section in the Middle



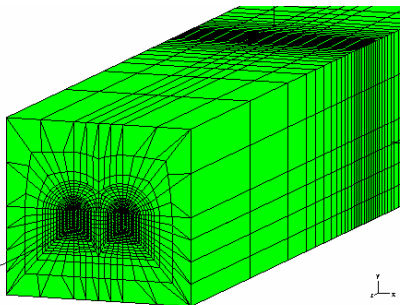
Spacing = 60 m



Spacing = 100 m

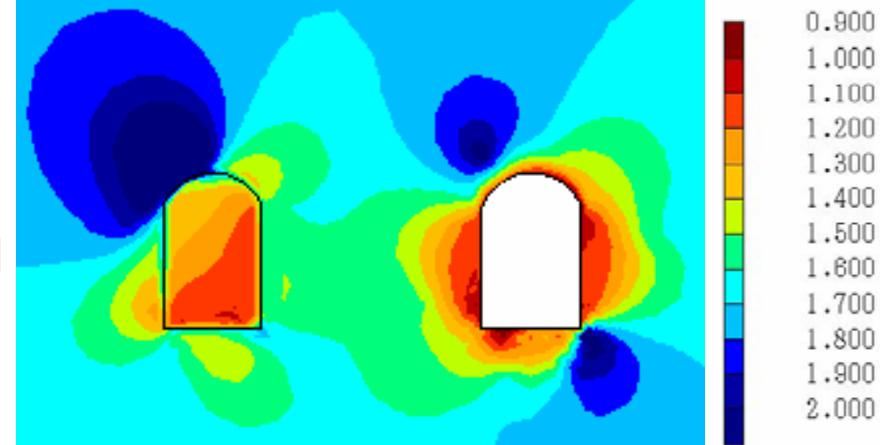
Spacing of 100 m is preferable.

## Mesh Model of Two Caverns



In any case, the Shape of Cavern-Ends should be considered and modified to relieve Stress Concentrations.

## Vertical Cross Section at one End



Spacing = 100 m





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# R&D of Large HPDs

A.Kusaka and H.Aihara (Univ. of Tokyo),  
M.Shiozawa (I CRR, Univ. of Tokyo),  
M.Tanaka (KEK), and  
HPK Electron Tube Center

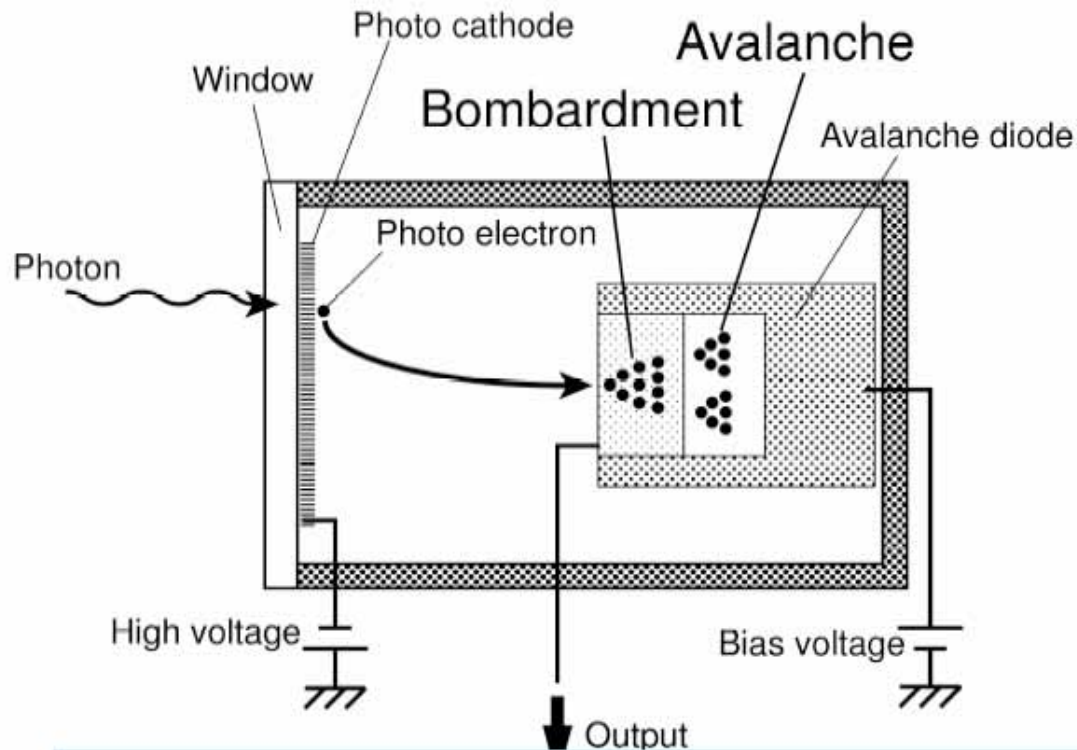
Based on the talk by H. Aihara, NNN05

# Why Large HPDs?

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- We want to have high-sensitivity, but low-cost optical device.
- Cost per unit photosensitive area of the optical device and associated electronics would be saved if the size of the unit device can be increased.
- However, we have to take the lesson of the Super-Kamiokande accident seriously.
- Cost would be lower for optical device with simpler structure.
- These considerations made us focus on R&D of large-area HPDs in collaboration with Hamamatsu.

# Principle of HPD



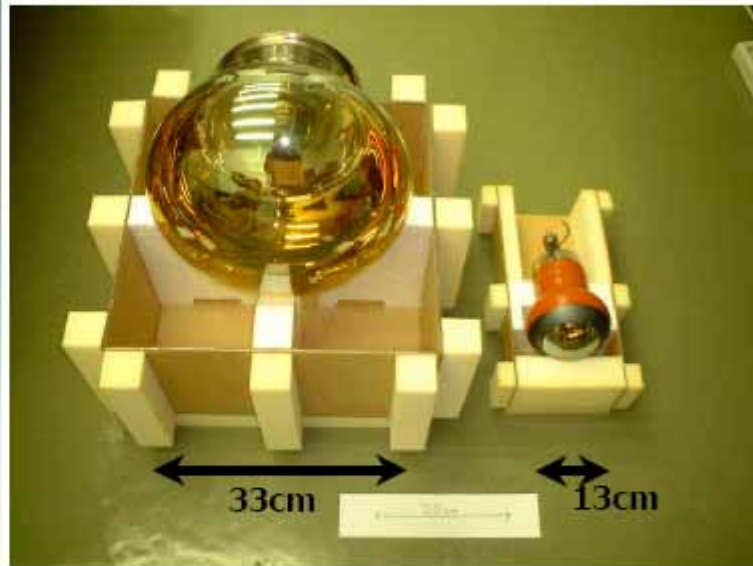
Bombardment Gain ( $dE/dX$  in Si / 3.6 eV)  $\sim 4,500 @ 20kV$   
 $\times$  Avalanche Gain ( $\sim 30-50$ )  
Total Gain  $\sim 10^5 < 10^7$  of SK-PMT

# 13-inch HPD

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13inch HPD



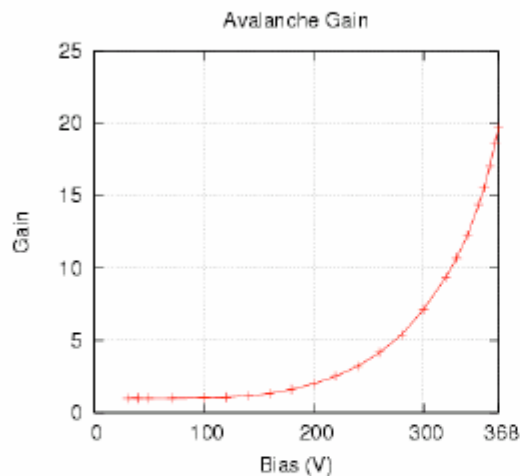
13inch

5inch

# Avalanche/Bombardment Gain

## ■ Avalanche Gain

HV=12kV(fixed), Bias=sweep



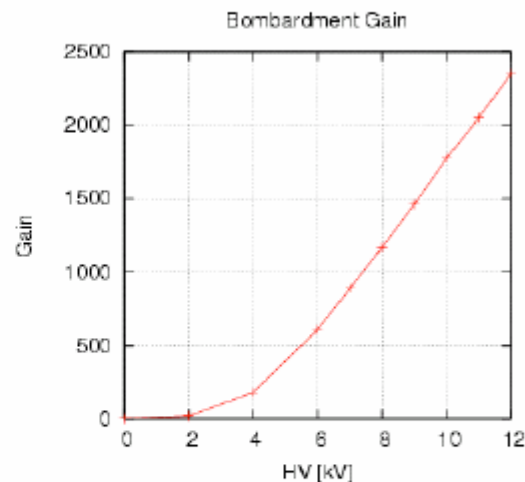
Gain  $\equiv 1$  @ Bias=40V

(no avalanche effect  $\lesssim 40$ V)

Gain  $\sim 20$  @ 368V

## ■ Bombardment Gain

Bias=50V(fixed), HV=sweep

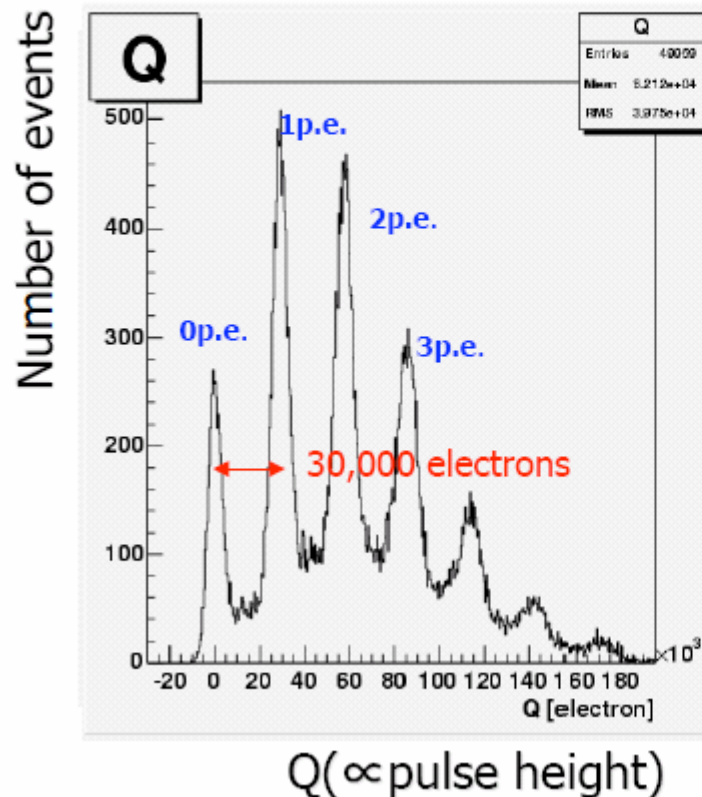
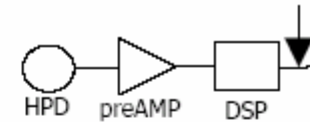


Gain  $\sim 2400$  @ 12kV

Gain rises  $> 3$ kV (energy loss in an insensitive layer on AD)

→ Total gain  $\sim 50,000$

# Single Photon Sensitivity



Pulse height distribution after DSP

→ very clear 1, 2, .. p.e. peaks

Gain  $\sim 30,000$

ENC  $\sim 3,000$

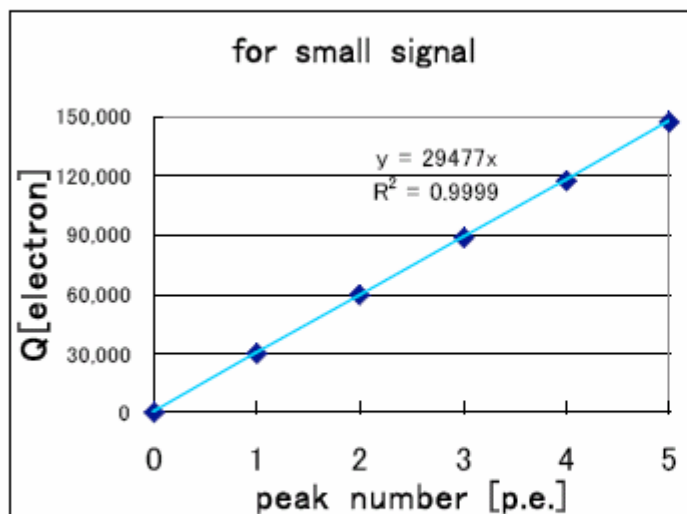
→ S/N = 10 @1p.e.

Single Photon Sensitivity!

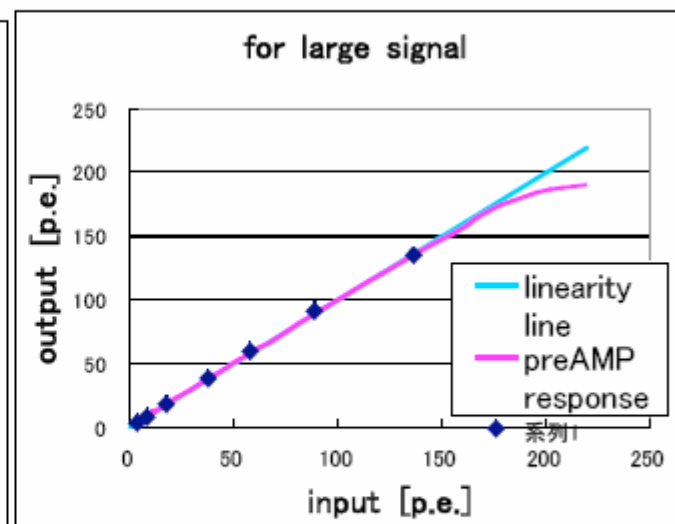


# Gain Linearity

Peak positions in the Q-histogram



Linearity is quite good  
~5p.e.

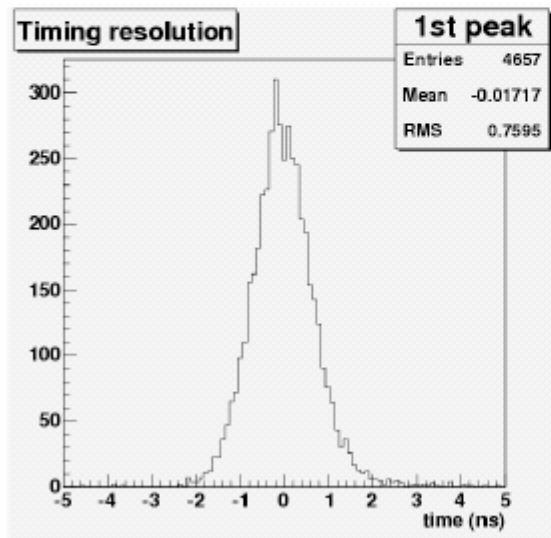


Good linearity up to  
~150p.e.(preAMP limit)

# Timing Resolution (1)

## Timing Resolution for 1p.e.

Timing resolution directly affects to  
the neutrino vertex reconstruction performance. ( $\Delta x \sim c\Delta t$ )



*timing (ns)*

Timing resolution

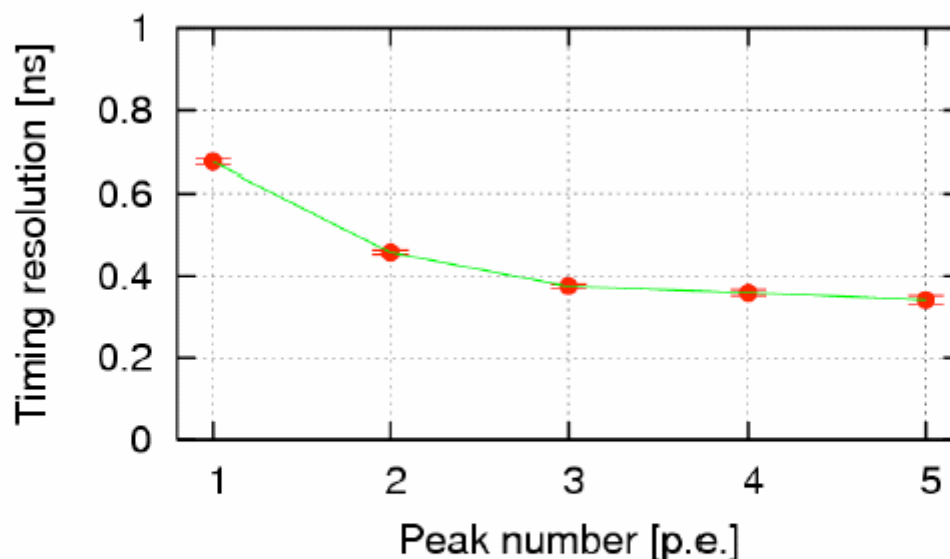
**$\sim 0.7\text{ns}@1\text{p.e.}$**

cf. PMT-SK

$\sim 2.3\text{ns}@1\text{p.e.}$

# Timing Resolution (2)

## Timing Resolution for multi photoelectrons

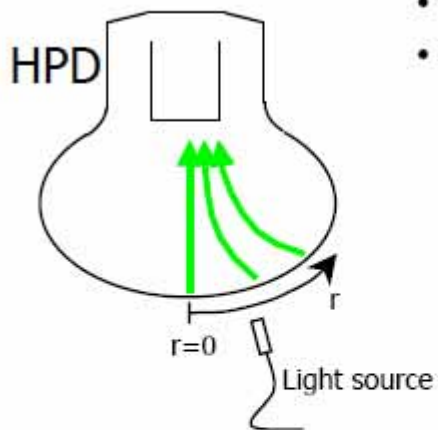


Timing resolution  $\lesssim 0.5\text{ns}$   
for  $\geq 2\text{p.e.}$

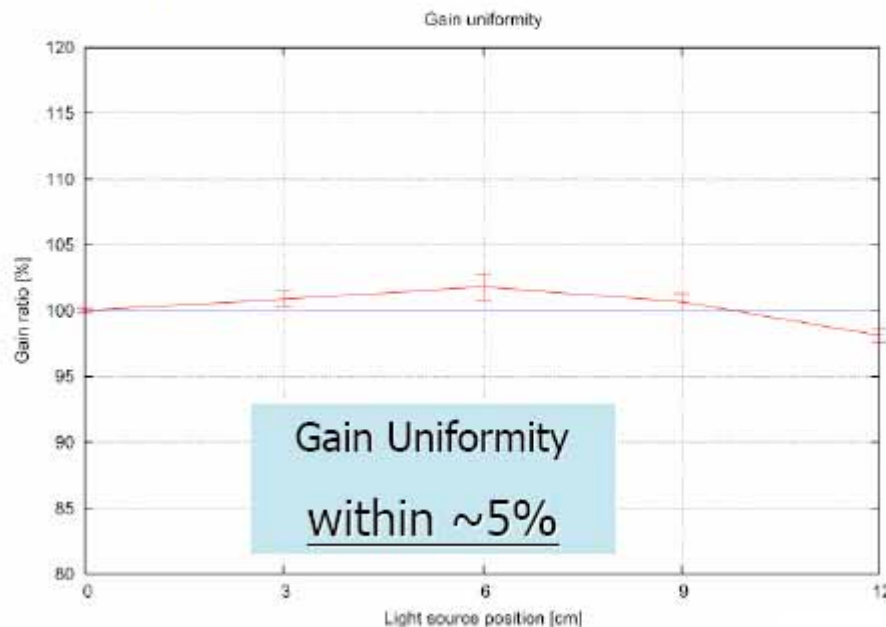


Meet the requirement  
( $\sim 1\text{ns}$ )

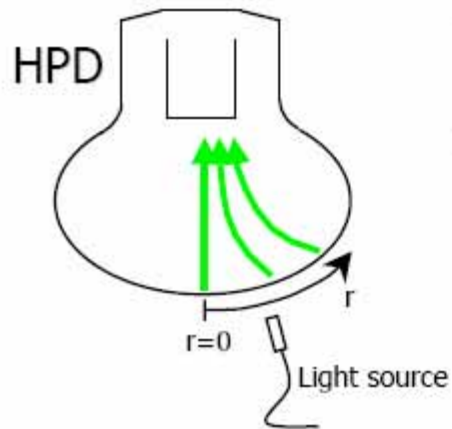
# Gain Uniformity



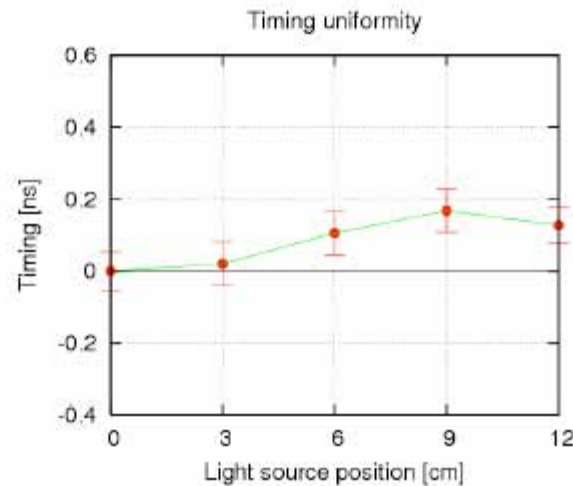
- Gain vs. position on the photocathode
- Light input: 1p.e.



# Timing Uniformity



- T.O.F (photocathode~AD) vs. position on the photocathode
- light input:  $\sim 30\text{p.e.}$   
(timing resolution:  $0.06\text{ns}@30\text{p.e.}$ )



Timing uniformity

$\sim 0.1\text{ns}$

# Quick Summary of HPD R&D

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## ■ Proof of principle

- 5-inch done
- 13-inch well advanced

## ■ Initial study shows excellent performance

- Single photon sensitivity
- Wide dynamic range (up to the readout limit)
- Good timing resolution (better than 1 ns)
- Good uniformity (over a large photocathode)

## ■ Promising



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# Schedule, Cost, etc.

# HK Excavation Schedule

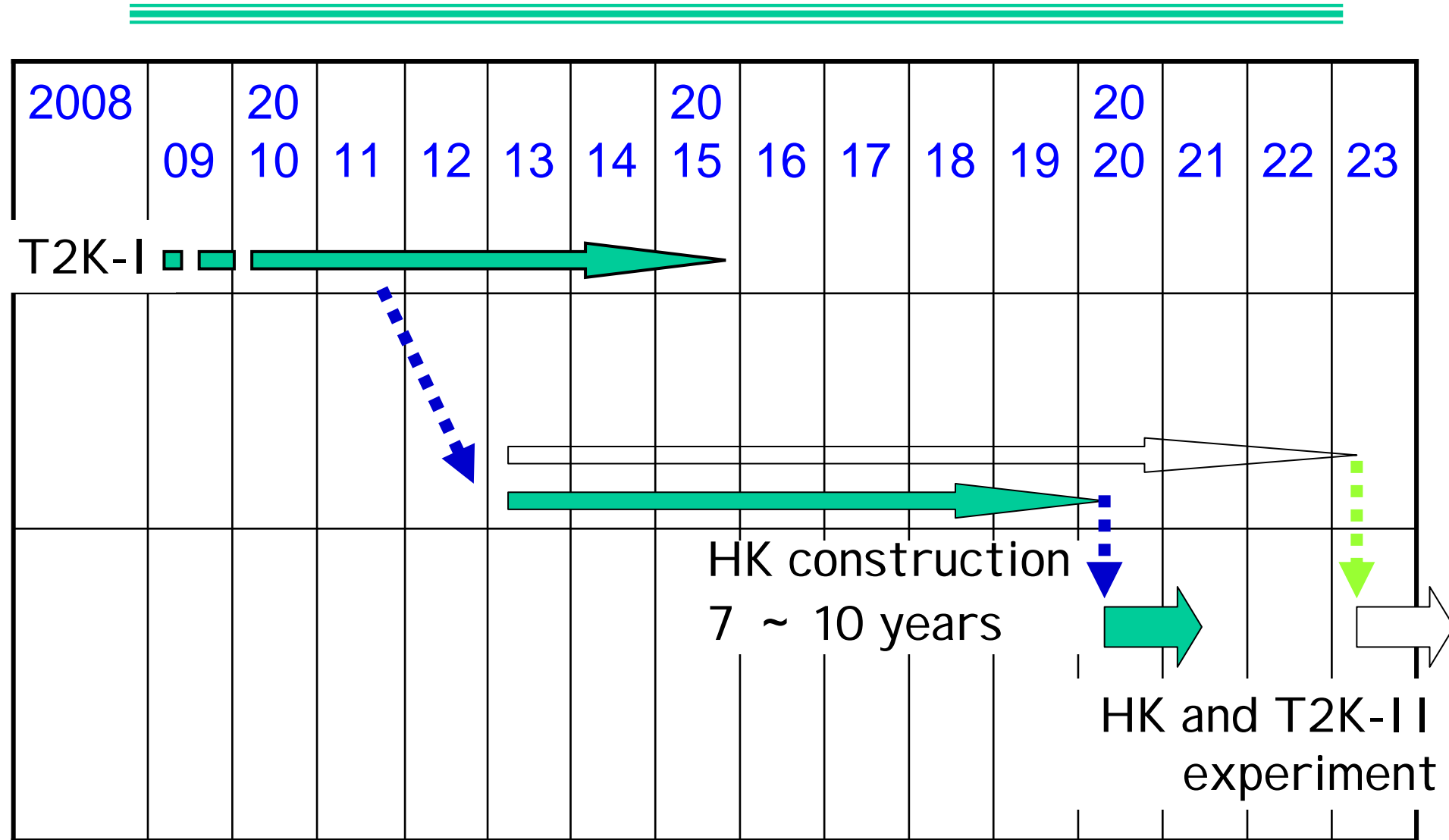
Year	1st	2nd	3rd	4th	5th	6th -
Access tunnel	←→					
Other tunnel	←→					
Detector cavities		←→				
Water-plant cavity		←→				
Equipments						←---

# Problem in the Optical Device Production

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- 100,000 – 200,000 20-inch PMTs or HPDs
- In principle, Toyota's "Kanban" process of production, or "just-in-time" production, would be ideal.
- But, a huge investment for the factory and equipment construction would be needed.
- Conventional Hamamatsu production schedule would be ~ 8 years or more.
- Storage space will be a big problem.

# T2K Phase I Time Line



# Cost ?

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- Need realistic design study and optimization
- Very rough estimation (or target)

■ Excavation	1.3 Mm <sup>3</sup>	@ 20,000 Yen	260 Oku-Yen
■ Plastic coating	40,000 m <sup>2</sup>	@ 40,000 Yen	16
■ PMT Support + Top Structure	SK × 10		40
■ PMT + Cable	100,000	@ 200,000 Yen	200
■ Electronics	100,000	@ 10,000 Yen	10
■ Outer Detector			10
■ Other Items			20
■ Total			556

(should be reduced to < 500)

# Hyper-K Planning and R&D: Summary

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- **Design:** Twin cavities
- **Site:** Tochibora mine is considered as a candidate site.
- **Cavity excavation:** FEA in progress; Geological survey and boring done; *in situ* measurement of initial stress yet to be done, rock sample should be taken from the candidate site for mechanical tests, etc.
- **Spherical HPD:** 13-inch prototype test in progress.
- **Realistic cost estimation:** Yet to be done.
- **Physics simulation with reduced photo-sensitive coverage:** Yet to be done.